

1 **Ventricular structure, function and mechanics at high altitude: chronic remodelling in**
2 **Sherpa verses short-term lowlander adaptation.**

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9 **Running head:** Cardiac adaptation to high altitude

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14 *Author Contributions*

15 M.S, P.N.A and R.S contributed to the conception and design of the experiment,
16 interpretation and drafting of the manuscript. J.D.C, M.G.H, E.S and A.Q.X.N contributed to
17 the data analysis, interpretation and critical revision of the manuscript. Data collection was
18 performed by M.S, P.N.A and J.D.C. All authors have approved the final version of the
19 manuscript.

20

21 **Abstract**

22 Short-term high altitude (HA) exposure raises pulmonary artery systolic pressure (PASP) and
23 decreases left ventricular (LV) volumes. However, relatively little is known of the long-term
24 cardiac consequences of prolonged exposure in Sherpa, a highly-adapted HA population. To
25 investigate short-term adaptation and potential long-term cardiac remodelling, we studied
26 ventricular structure and function in Sherpa at 5050 m (n=11; 31±13 y, mass 68±10 kg,
27 height 169±6 cm) and lowlanders at sea level (SL) and following 10±3 d at 5050 m (n=9;
28 34±7 y, mass 82±10 kg, height 177±6 cm) using conventional and speckle-tracking
29 echocardiography. At HA, PASP was higher in Sherpa and lowlanders when compared to
30 lowlanders at SL (both P<0.05). Sherpa had smaller right ventricular (RV) and LV stroke
31 volumes than lowlanders at SL with lower RV systolic strain (P<0.05) but similar LV systolic
32 mechanics. In contrast to LV systolic mechanics, LV diastolic untwisting velocity was
33 significantly lower in Sherpa when compared to lowlanders at both SL and HA. After partial
34 acclimatization, lowlanders demonstrated no change in RV end-diastolic area, however both
35 RV strain and LV end-diastolic volume were reduced. In conclusion, short-term hypoxia
36 induced a reduction in RV systolic function that was also evident in Sherpa following chronic
37 exposure. We propose this was consequent to a persistently higher PASP. In contrast to the
38 RV, remodelling of LV volumes and normalization of systolic mechanics indicate structural
39 and functional adaptation to HA. However, altered LV diastolic relaxation after chronic
40 hypoxic exposure may reflect differential remodelling of systolic and diastolic LV function.

41 **Key words:**

42 Hypoxia; Cardiac Remodelling; Ventricular mechanics; Sherpa.

43 **Introduction**

44 High altitude (HA) exposure challenges the cardiovascular system to meet the metabolic
45 demand for oxygen (O₂) in an environment where arterial O₂ content is markedly reduced.
46 The drop in arterial O₂ has both direct and indirect consequences for the heart, including
47 depressed inotropy of cardiac muscle (40, 44), changes in blood volume and viscosity and
48 vasoconstriction of the pulmonary arteries (33). Despite these broad physiological changes,
49 which have been previously reviewed (28, 49), there is evidence that the heart copes
50 relatively well at high altitude (29, 34).

51 Short-term HA exposure in lowland natives is characterised by a decreased plasma volume,
52 an increased sympathetic nerve activity and pulmonary vasoconstriction (17, 30, 37), all of
53 which have considerable impact on cardiac function and, in time, could stimulate cardiac
54 remodelling. Himalayan native Sherpa, who are of Tibetan lineage and have resided at HA
55 for approximately 25,000 years (2), are well adapted to life at HA demonstrating greater lung
56 diffusing capacity (11) and an absence of polycythaemia in comparison to acclimatised
57 lowlanders (4). Previous studies have also reported Sherpa to have higher maximal heart rates
58 and only moderate pulmonary hypertension in comparison to lowlanders at HA (11, 25). Due
59 to their longevity at HA, Sherpa provide an excellent model to investigate the effects of
60 chronic hypoxic exposure. Despite this, neither the acute nor life-long effects of HA on right
61 *and* left ventricular structure and function have been fully assessed in lowlanders or the
62 unique Sherpa population.

63 Due to the unique arrangement of myofibres, cardiac form and function are intrinsically
64 linked as reflected in the cardiac mechanics (left ventricular twist and rotation and ventricular
65 strain) that underpin ventricular function. In response to altered physiological demand,
66 ventricular mechanics acutely change (16, 41) and chronically remodel (31, 42) in order to
67 reduce myofibre stress and achieve efficient ejection (5, 47). Therefore, concomitant
68 examination of myocardial mechanics and ventricular structure in both the acute and chronic
69 HA setting will provide novel insight into human adaptation to hypoxia.

70 To investigate the effects of chronic hypoxic exposure, we compared ventricular volumes and
71 mechanics in Sherpa at 5050 m with lowlanders at sea level. In addition, to reveal potential
72 stimuli for remodelling and to examine the time course of adaptation, we also compared
73 Sherpa to lowlanders after short-term HA exposure.

74 We hypothesised that (i) Sherpa would exhibit smaller left ventricular (LV) volumes and a
75 higher right (RV) to left ventricular ratio (RV/LV) than lowlanders at sea level, (ii) LV
76 mechanics in Sherpa will closely resemble those of lowlanders at sea level and (iii) following
77 partial acclimatization to HA, LV volumes would be reduced in lowlanders and LV
78 mechanics acutely increased.

79 **Methods**

80 *Study Participants and Design*

81 All experimental procedures and protocols were approved by the Clinical Research Ethics
82 Board at the University of British Columbia and the Nepal Health Medical Research Council,
83 and conformed with the Declaration of Helsinki. Eleven Caucasian male lowlanders (34±7
84 years) and eleven Nepalese male highland Sherpa (31±13 years) provided informed consent
85 and volunteered to participate in the study. Four weeks prior to departure, Caucasian
86 participants underwent a thorough transthoracic echocardiographic assessment (TTE) close to
87 sea level (SL; Kelowna, Canada; 344 m) and then after 10 ± 3 days at the Ev-K2-CNR
88 Pyramid Laboratory (Lobuche, Nepal; 5050 m). One lowlander was excluded due to poor
89 acoustic windows and a second due to significant non-altitude related illness. Sherpa were
90 assessed at 5050 m only. All participants were free from respiratory and cardiovascular
91 disease and were not taking any prescription medications. The native Sherpa participants
92 originated from, and were residents of the Khumbu Valley at an altitude greater than 3000 m
93 and self-identified to be of Sherpa ethnicity. None of the Sherpa had travelled below 3000 m
94 for at least 6-months prior to testing. Although it was not possible to rigorously assess
95 physical activity, it is our belief that Sherpa and lowlander participants were relatively
96 comparable in this regard, with Sherpa making their living through expedition trekking and
97 lowlanders engaging in frequent recreational activity.

98 Stature, mass, blood pressure and oxygen saturation (SaO₂) were recorded prior to each TTE.
99 Venous blood samples were taken from lowlanders to assess total haemoglobin (HemoCue[®],
100 Ängelholm, Sweden) concentration and haematocrit (Micro Haematocrit Reader) to
101 approximate changes in plasma volume (PV) (9), assuming that erythropoiesis would have
102 only minor effects in the timeframe of our study (37). After travel to Nepal and four nights in
103 Kathmandu (1400 m), the participants flew to Lukla (2800 m) and began a ten-day ascent to
104 the Pyramid Research Centre (5050 m). During the following nine days, a cautious ascent
105 profile was adopted with no more than 300 m net gain in altitude per day. To aid
106 acclimatization, three full rest days with no net change in altitude were included in the ten-
107 day ascent.

108

109

110 *Transthoracic Echocardiography*

111 All echocardiographic images were recorded on a commercially available portable ultrasound
112 system (Vivid q, GE Medical Systems, Israel Ltd) using a 1.5-4 MHz phased array
113 transducer. Images were captured by the same highly trained cardiac sonographer with the
114 participant lying in the left lateral decubitus position. Following 10 minutes of supine rest,
115 parasternal long- and short-axis images and apical four chamber views were recorded at end-
116 expiration and three consecutive cardiac cycles were stored for offline analysis (Echopac, GE
117 Medical, Horten, Norway). Heart rate (HR) was recorded via ECG.

118 *Ventricular Structure*

119 Left ventricular wall thickness and internal diameter were measured from the 2D parasternal
120 long-axis view. Left ventricular mass was calculated using the current American Society of
121 Echocardiography guidelines and relative wall thickness was defined as $[(2 \times \text{LV posterior}$
122 $\text{wall thickness})/\text{LV internal diameter}]$ (26). Systolic and diastolic eccentricity index was
123 calculated from the parasternal short-axis view at the mitral valve level to assess the impact
124 of RV pressure increase on LV shape (39). Left ventricular end-systolic volume (ESV), end-
125 diastolic (EDV) volume and LV ejection fraction were calculated from planar tracings of the
126 LV endocardial border in the apical four- and two-chamber views (Simpson's biplane
127 approach) (26). Left ventricular end-diastolic length was also measured using an apical four-
128 chamber view and defined as the distance from the mitral valve hinge point plane to the most
129 distal endocardium at the apex of the LV. Right ventricular end-diastolic area (EDA) was
130 calculated by tracing the endocardial border from a modified apical four-chamber orientation.
131 Right Ventricular basal diameter was also recorded from an apical four-chamber view (38)
132 and divided by LV basal diameter to obtain the ratio of RV/LV diameter (RV/LV).

133

134 *Scaling of Cardiac Parameters*

135 To account for the potential influence of body size, cardiac parameters were allometrically
136 scaled for height. The data were tested for the appropriateness of ratiometric scaling (3) and
137 discounted if the coefficient of variation for height divided by the coefficient of variation for
138 the cardiac parameter was not equal to the Pearson's product moment correlation between the
139 two variables (43). To determine whether the data could be grouped to derive a single
140 exponent, an analysis of covariance (ANCOVA) was performed. As the exponents for

141 lowlanders and Sherpa were similar, a common exponent was calculated for each parameter
142 and used to scale structural and volume parameters.

143

144 *Systolic Function*

145 Left ventricular stroke volume (SV) was calculated as EDV-ESV and multiplied by HR for
146 cardiac output. Right ventricular SV was obtained by placing a sample volume in the RV
147 outflow tract (RVOT) from a parasternal short axis to obtain the velocity time integral. This
148 was then multiplied by the cross sectional area of the RVOT measured from the same view.
149 Tissue Doppler imaging (TDI) was used to assess peak LV and RV myocardial velocity
150 during systole (S') with the sample volume placed in the basal septum and RV free wall,
151 respectively. M-mode echocardiography was used to assess the tricuspid annular plane
152 systolic excursion (TAPSE) (22). The pulmonary vascular response was quantified as the
153 peak systolic tricuspid regurgitation jet velocity (V) recorded in an apical 4-chamber view
154 using continuous wave Doppler and the right ventricle (RV) to right atrium (RA) pressure
155 gradient was calculated using the simplified Bernoulli equation ($4V^2$). With the addition of
156 RA pressure, estimated using collapsibility index of the inferior vena cava, pulmonary artery
157 systolic pressure (PASP) was also calculated (38).

158

159 *Diastolic Function*

160 Pulsed-wave Doppler recordings were obtained to assess transmitral early (E) and late (A)
161 diastolic filling velocities from an apical 4-chamber view with the sample volume placed
162 between the tips of the open mitral valve. From the TDI traces described above, peak early
163 diastole (E') and late diastole (A') were identified and isovolumic relaxation time (IVRT)
164 was assessed as previously described (1).

165

166 *Ventricular Mechanics: Strain, Rotation and Twist*

167 Left ventricular circumferential strain, LV rotation and their time derivatives strain rate and
168 rotational velocity were assessed from parasternal short-axis views obtained from the LV
169 base at the level of the mitral valve and the LV apex. The LV apex was defined as the point

170 just above end-systolic luminal obliteration and obtained by moving the transducer 1-2 inter-
171 costal spaces caudally from the basal position to align with the apical short-axis (46), keeping
172 the LV cross-section as circular as possible. Left ventricular and RV longitudinal strain and
173 strain rate were analyzed from an apical 4-chamber view. Images were acquired with the
174 highest possible frame rate (>70 frames per second) and kept constant for repeat
175 examinations. All images were analyzed off-line using 2-D speckle tracking analysis to
176 assess global rotation, rotational velocity, strain, strain rate and to calculate LV twist and
177 untwist ('LV mechanics') (Echopac, GE Medical, Horten, Norway, V110.1.1). In order to
178 time align and adjust for inter- and intra-individual variability of heart rate and frame rate,
179 post-processing was completed as previously described (41, 42). Briefly, raw frame-by-frame
180 data were exported to bespoke software (2D Strain Analysis Tool, Stuttgart, Germany) and
181 cubic spline interpolation was applied. Twist variables were calculated by subtracting the
182 apical frame-by-frame data from the basal data. The time it took to achieve peak: twist,
183 twisting velocity, rotation, rotational velocity, strain and strain rate from the onset of systole
184 was expressed as a percentage of the cardiac cycle. Peak basal rotation during isovolumic
185 contraction was defined as the peak counter clockwise basal rotation during early systole.
186 For analysis and interpretation of diastolic mechanics, untwist was expressed as the
187 percentage of peak twist to normalise for differences in absolute peak twist (32). Peak
188 untwisting velocity has previously been shown to provide an accurate and reproducible
189 measure of diastolic function, and has been validated against invasive measures of LV
190 chamber stiffness (50). Untwist data were analyzed up to 50% diastole as previously
191 reported (45). To account for differences in absolute (ms) and relative (% diastole)
192 differences in IVRT, percentage untwist was expressed relative to IVRT.

193

194 *Statistical Analyses*

195 Comparison of lowlander and Sherpa was performed using independent samples *t*-test. The
196 two lowlander conditions were analyzed using paired samples *t*-tests. For detailed analysis of
197 untwisting mechanics, a mixed-design repeated measures analysis of variance (ANOVA) was
198 used. Alpha was set *a priori* to 0.05. All statistical analyses were performed using the
199 Statistical Package for Social Science software (SPSS for Windows 19.0, Chicago, IL, USA).

200

201 **Results**

202 *Hemodynamics*

203 Sherpa exhibited higher systemic and pulmonary systolic pressure and a lower SaO₂ in
204 comparison to lowlanders at sea level (Table 1). Heart rate was higher in Sherpa than
205 lowlanders at sea level and HA. Once lowlanders had partially acclimatised to HA,
206 differences in hemodynamics and oxygen saturation were no longer evident (Table 1). High
207 altitude exposure in lowlanders was associated with a significant increase in haematocrit (47
208 ± 2 vs. 59 ± 5 %, $P < 0.01$) and haemoglobin concentration (15.1 ± 0.7 vs. 15.9 ± 0.6 , $P < 0.05$),
209 from which a 20 ± 7 % decrease in PV was estimated.

210 *Ventricular Structure*

211 Following scaling, Sherpa demonstrated smaller wall thicknesses, LV mass and ventricular
212 volumes in comparison to lowlanders at sea level with no between-group differences in
213 relative wall thickness observed (Table 2). Sherpa had a similar eccentricity index to
214 lowlanders at HA, however both were moderately higher than lowlanders at sea level
215 ($P < 0.05$). After exposure to HA, lowlanders reported a reduced LV EDV and LV mass,
216 meaning differences observed between lowlanders at sea level and Sherpa were no longer
217 evident. Despite a reduction in LV EDV and PV, lowlanders reported no change in RV EDA.

218

219 *Systolic Function*

220 When compared to lowlanders at sea level, Sherpa demonstrated a lower SV ($P < 0.05$)
221 however there were no significant differences in ejection fraction or cardiac output. Right
222 ventricular systolic performance, as measured by TAPSE, was lower in Sherpa compared to
223 lowlanders at sea level. There were no differences in RV or LV SV between Sherpa and
224 lowlanders at 5050 m.

225

226 *Diastolic Function*

227 Sherpa exhibited a lower early transmitral velocity, elevated atrial contribution to LV filling
228 and lower E' compared to lowlanders at sea level. Additionally, both LV and RV IVRT were
229 longer in Sherpa and lowlanders at 5050 m (Table 3) compared to lowlanders at sea level.

230 Ascent to HA reduced the ratio of early to late transmitral filling (E/A ratio) and tissue
231 (E'/A') velocities in lowlanders.

232

233 *Ventricular Mechanics: Strain, Rotation and Twist*

234 *Systolic Mechanics*

235 *(i) Left ventricular*

236 For simplicity we report LV twist and not LV torsion, as normalising for LV length did not
237 alter the results. When Sherpa were compared to lowlanders at sea level, the pattern of LV
238 mechanics was similar showing no statistical differences other than a longer time to peak LV
239 systolic longitudinal strain in Sherpa (Figure 1; Table 4). However, at 5050 m basal rotation
240 was greater and apical rotation lower in the Sherpa, but there was no difference in peak twist.
241 This difference in basal and apical rotation between Sherpa and lowlanders at 5050 m can be
242 explained by acute changes in lowlander mechanics following short-term HA exposure. Peak
243 systolic basal rotation was approximately halved and rotation during isovolumic contraction
244 (IVC) doubled after partial acclimatization (Figure 1; Table 4). In contrast to the base, apical;
245 rotation, systolic rotational velocity, circumferential strain and strain rate were all increased
246 (Figure 1; Table 4).

247 *(ii) Right ventricular*

248 In Sherpa, peak RV longitudinal strain was lower and occurred later in the cardiac cycle
249 when compared to lowlanders at sea level ($P < 0.05$). Following short-term HA exposure,
250 lowlanders reported a reduction in peak RV longitudinal strain meaning the difference
251 between lowlanders and Sherpa observed at sea level was no longer evident (Table 4; Figure
252 2).

253 *Diastolic Mechanics*

254 Despite the same peak twist, Sherpa showed a lower peak untwisting velocity than
255 lowlanders at both sea level and 5050 m (Figure 1, see annotation). Relative to peak twist,
256 Sherpa achieved significantly less untwisting during the first 45% of diastole than either of
257 the lowlander conditions (Figure 3). However, when considered relative to the longer IVRT
258 observed in Sherpa, no differences in the percentage of untwist prior to mitral valve opening

259 were evident. Time to peak LV diastolic strain rate was longer in Sherpa than lowlanders at
260 sea level, but not different at 5050 m. Additionally, time to peak RV diastolic strain rate was
261 longer in Sherpa and lowlanders at 5050 m compared to lowlanders at sea level (Figure 2).

262

263

264 **Discussion**

265 The purpose of this study was to assess the impact of chronic hypoxic exposure on cardiac
266 structure and function in HA Sherpa residents through comparison with lowlanders at sea
267 level and after short-term HA exposure. The main findings were: (i) Sherpa have smaller
268 relative left ventricular size compared to lowlanders at sea level yet no difference in the
269 RV/LV area ratio; (ii) Sherpa exhibited slower diastolic relaxation and similar systolic
270 mechanics in comparison to lowlanders at sea level; (iii) in lowlanders, short-term HA
271 exposure resulted in increased PASP, reduced RV strain and SV and a mismatch between
272 right and left ventricular filling; and (iv) acute changes in loading conditions and an increase
273 in PASP lead to a differential response in LV mechanics at the base and apex.

274

275 *Comparison of Cardiac Structure and Function in Sherpa and Lowlanders*

276 Sherpa are renowned for their superior exercise and mountaineering performance (12). In sea
277 level athletes, higher levels of aerobic fitness normally coincide with a large LV EDV thus
278 enabling a larger SV (24). However, cross-sectional comparison in the present study revealed
279 smaller absolute and relative LV size in Sherpa when compared to lowlanders at sea level.
280 Whilst cross-sectional comparisons cannot establish cause and effect, it is tempting to
281 speculate that the lower RV systolic function observed may result in decreased LV filling and
282 act as a stimulus for structural remodelling and could determine cardiac development in HA
283 natives. This hypothesis is partially supported by findings in pulmonary hypertension
284 patients, where a reduced RV function has been shown to decrease LV filling (27) which
285 ultimately results in ventricular remodelling and a smaller LV (7). It should, however, be
286 noted that despite a decrease in our load-dependent measures of RV systolic function,
287 intrinsic contractility is often preserved in high altitude populations, even in patients with
288 chronic mountain sickness (34). This suggests that the alterations in RV longitudinal function
289 observed previously (21) and in the current study likely reflect altered loading conditions
290 rather than pathological dysfunction.

291 To generate the required cardiac output with a smaller LV and hence SV, heart rate needs to
292 be higher. In agreement with this, previous authors have shown a greater maximal heart rate
293 in Sherpa compared to lowlanders at HA (25). Therefore, whilst cardiac output may be
294 similar between Sherpa and lowlanders, the way in which it is achieved could differ.

295 Although we observed a smaller LV EDV in Sherpa compared to lowlanders, Sherpa did not
296 demonstrate a statistically significantly larger RV/LV area ratio ($P=0.2$). This finding is in
297 contrast to the short-term HA response in lowlanders and also Andean HA natives (21).
298 Although this contradicts our hypothesis, and may be related to limited statistical power, it is
299 also possible that it reflects genetic differences between ethnic groups. Tibetans have been
300 shown to exhibit a lower incidence of RV hypertrophy than other ethnic groups who have
301 migrated to and reside at HA (15). As such, it is possible that Sherpa do not demonstrate the
302 disproportionate increase in RV size seen in other populations.

303

304 *Impaired Diastolic Relaxation and Comparable LV Systolic Mechanics in Sherpa compared*
305 *to Lowlanders at Sea Level*

306 Modification of diastolic function at HA has been widely reported in the literature with a
307 decrease in both LV and RV E/A ratio as the most common finding (8, 21). Researchers have
308 speculated that either changes in intrinsic properties such as calcium handling or ATP
309 availability, or loading conditions modify diastolic function (19-21, 23). In the present study
310 we examined myocardial mechanics to assess the impact of HA exposure on diastolic
311 function. Temporal analysis of our data shows that in Sherpa, peak RV and LV longitudinal
312 systolic strain occurred during early diastole. This is in contrast to lowlanders at sea level
313 where peak longitudinal strain immediately precedes pulmonary and aortic valve closure. Our
314 results support the work of Gibbs (14) who suggested that increased pulmonary pressures at
315 HA impact LV filling by prolonging the systolic ejection time.

316 While Sherpa achieved less untwisting during early diastole compared to lowlanders at sea
317 level or HA, IVRT was significantly longer and the percentage of untwist preceding mitral
318 valve opening was not different (Figure 3; annotation). Lower untwist during early diastole,
319 as seen in healthy ageing (45), and prolongation of IVRT may reflect a smaller, stiffer LV in
320 Sherpa. In combination, delayed systolic and diastolic longitudinal strain, prolongation of
321 IVRT, and slower untwist velocity suggest altered diastology. Interestingly, despite a longer
322 IVRT in lowlanders at HA, greater untwisting during early diastole was achieved compared
323 to Sherpa. This may represent an acute response to the lower LV filling pressure and greater
324 systolic apical rotational velocity, which over time may act as a stimulus for chronic
325 remodelling.

326 As shown in Figure 1, lowlanders demonstrate rapid changes in systolic mechanics after
327 ascent to HA. It is known that LV mechanics adjust in response to altered hemodynamics to
328 optimise efficiency and equalize fibre stress across the myocardium (47). The profile of
329 systolic LV mechanics in Sherpa, however, is more comparable to lowlanders at sea level
330 than at HA. As mechanical stress is linearly related to myocardial oxygen demand (6),
331 changes in LV mechanics could represent altered myocardial efficiency. In this context, the
332 heart of lowlanders at HA may be inefficient initially. However, prolonged exposure, as
333 experienced by Sherpa, may result in remodelling of the ventricular wall, normalization of
334 mechanics and improved myocardial efficiency. Moreover, as there are no differences in
335 relative wall thickness between lowlanders and Sherpa, it would appear the Sherpa LV is not
336 exposed to a greater stress than that of lowlanders. Previously we have shown the importance
337 of a mechanical reserve in response to exercise in healthy lowlanders at sea level (41). The
338 ‘normalised’ systolic mechanics in Sherpa may facilitate this reserve, which is likely absent
339 during acute HA exposure due to higher resting levels of twist, rotation and strain. Whilst
340 systolic mechanics appear to normalise, diastolic mechanics suggest impaired relaxation.
341 However, it is interesting that the higher untwisting velocity observed in lowlanders at 5050
342 m is not able to facilitate LV filling and increase EDV, suggesting other factors independent
343 of myocardial relaxation reduce LV EDV. Whether the altered diastolic mechanics in Sherpa
344 represent positive long-term adaptation or an inability to remodel is not known, but it appears
345 from our data that systolic function has a greater capacity to adapt to residence at HA.

346

347 *Ventricular Mismatch: Preserved Right Ventricular End-Diastolic Area and Decreased Left*
348 *Ventricular Volume after Acute HA Exposure*

349 Short-term HA exposure increased PASP and reduced plasma volume in lowlanders, as has
350 been previously reported (21, 35, 37). However, despite the reduction in plasma volume,
351 there was no change in RV EDA indicating that either RV filling was maintained or, due to a
352 reduced RV SV, the same EDA was achieved with a lower filling pressure (36). There was,
353 however, a reduction in LV EDV, a finding previously thought to be partly related to the
354 lower blood volume observed with short-term HA exposure (8). Our data indicate that the
355 reduction in LV filling may be independent of changes in blood volume and more likely
356 related to the decreased RV SV observed. The reduction in RV SV at HA coincided with a
357 reduction in systolic performance as quantified by RV longitudinal strain and TAPSE. It is

358 likely that in response to increased PASP, and therefore RV afterload, RV systolic
359 performance is impaired and SV is reduced. This in turn impacts on LV diastolic function
360 resulting in modified LV filling, as evidenced by the change in E/A, and ultimately decreased
361 LV EDV.

362

363 *Differential Response in LV Basal and Apical Mechanics in Lowlanders following ascent to*
364 *5050 m*

365 Following ascent to HA, lowlanders demonstrated a reduction in peak LV basal systolic
366 rotation and an increase in LV apical circumferential strain and rotation. It is likely that the
367 reduction in LV EDV, increase in PASP and subsequent changes in LV geometry, as
368 indicated by an increased LV eccentricity index, play a significant role in the differential
369 response of the base and apex. Increased PASP and altered LV geometry have been shown to
370 lower peak LV basal rotation in pulmonary hypertension (10) while a reduction in LV
371 preload has been associated with increased apical rotation (13, 18). In addition to the decrease
372 in peak LV basal systolic rotation, basal rotation during IVC was elevated in lowlanders at
373 HA as previously described where PASP is increased or LV preload reduced (10, 48). The
374 increase in rotation during IVC alters the starting position of clockwise systolic basal
375 rotation. However, the net change in rotation between peak IVC and peak systole remains
376 relatively constant at sea level and HA, with no change in circumferential deformation. As
377 this modification of basal rotation was not evident in Sherpa, who exhibited a similar PASP,
378 it seems more likely that the decrease in basal rotation was due to decreased LV filling rather
379 than significant LV-RV interaction.

380 In contrast to basal mechanics, and in agreement with our hypothesis, systolic apical rotation
381 and circumferential strain were significantly increased at HA compared to sea level. This is
382 likely related to the decreased LV EDV and increased sympathetic drive previously reported
383 at HA (17). Although the importance and functional significance of changes in apical
384 mechanics is yet to be determined, such changes likely signify enhanced systolic function at
385 HA. For example, increased apical rotation and deformation could help to maintain ejection
386 fraction and prevent further decline in stroke volume in the presence of decreased LV filling.

387

388

389 *Limitations and Future Directions*

390 We acknowledge the limitations associated with small, cross-sectional studies however due to
391 logistical difficulties and expense associated with work of this nature, large longitudinal
392 studies are less practicable. Due to the anatomy of the heart, imaging of the right ventricle
393 with ultrasound is not ideal, however, the guidelines published by the American Society of
394 Echocardiography were followed (38) and magnetic resonance imaging was not available.
395 Whilst all participants were physically active and matched for age, we were unable to
396 quantify physical activity patterns and therefore cannot rule out the influence of training
397 status. Lastly, we acknowledge the confounding nature of drawing comparisons between two
398 diverse ethnic groups, it is possible that Sherpa may exhibit different cardiac phenotypes
399 irrespective of HA exposure. However, in order to address our primary research question it
400 was not possible to avoid the comparison of different ethnic groups. Future research should
401 attempt to investigate the combined influence of chronic altitude exposure and healthy aging,
402 the reversible nature of long-term cardiac adaptation to HA and the consequences for exercise
403 capacity in Sherpa.

404 *Conclusions*

405 Life-long HA exposure resulted in structural and functional remodelling of the Sherpa heart.
406 Altered biventricular loading conditions are likely the cause for the physiological adaptation
407 observed. Despite a higher RV afterload, there was no evidence of disproportionate RV
408 structural enlargement in Sherpa, which may be a consequence of environmental or genetic
409 adaptation. Normalization of LV systolic mechanics in Sherpa but slower diastolic relaxation
410 indicates differential functional remodelling that has not been observed previously in HA
411 populations and its functional relevance remains to be confirmed. Lowlanders also
412 demonstrated increased RV afterload and consequently altered RV function, which may
413 impair LV filling. Decreased LV filling is accompanied by an increase in apical systolic
414 mechanics likely helping to prevent a further decline in SV. Persistent under filling of the
415 LV and elevated apical mechanics may restrict cardiac reserve during exercise and be the
416 precursor to the chronic LV structural and functional remodelling observed in well-adapted
417 Sherpa population.

418

419

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564 *Acknowledgements*

565 This study was carried out within the framework of the Ev-K2-CNR Project in collaboration
566 with the Nepal Academy of Science and Technology as foreseen by the Memorandum of
567 Understanding between Nepal and Italy, and thanks to contributions from the Italian National
568 Research Council. This study was supported in part by the Natural Sciences and Engineering
569 Research Council of Canada and a Canada Research Chair to PNA. The authors are grateful
570 to the other members of this international research expedition for assistance with the
571 organization of this project. We would also like to thank Dr David Oxborough for his initial
572 input related to the RV methodology.

573

574

575 *Competing Interests*

576 No conflicts of interest are declared by the authors.

577

578 Figure Legends

579 **Figure 1- Temporal representation of twist, basal and apical rotation and their**
580 **respective velocities in lowlanders at sea level and 5050 m and Sherpa at 5050 m.**
581 **Annotations indicate key findings. For clarity, statistical differences have not been**
582 **identified. Please refer to Table 3.** RV, right ventricle; LV, left ventricle; AVC, aortic valve
583 closure.

584

585 **Figure 2- Temporal representation of RV and LV strain and strain rate in lowlanders at**
586 **sea level and 5050 m and Sherpa at 5050 m. Annotations indicate key findings. For**
587 **clarity, statistical differences have not been identified. Please refer to Table 3.** RV, right
588 ventricle; LV, left ventricle; AVC, aortic valve closure; PVC, pulmonary valve closure.

589

590 **Figure 3. Panel A illustrates the slower untwisting in Sherpa expressed relative to peak**
591 **systolic twist up to 50% diastole. Sherpa isovolumic relaxation time (IVRT) is double**
592 **that of lowlanders at sea level when expressed as a % of diastole. Vertical lines indicate**
593 **mitral valve opening for each condition and horizontal lines indicate the percentage of**
594 **untwist preceding mitral valve opening. Panel B shows % untwist in three conditions**
595 **against % IVRT. No statistical differences were observed when untwisting was**
596 **normalised for IVRT duration.** Data presented are mean \pm SEM; * $p < 0.05$ vs. lowlander
597 sea level; † $p < 0.05$ vs. 5050m lowlander. AVC, aortic valve closure; MVO, mitral valve
598 opening; SL, sea level.

599

600 Tables

601 **Table 1. Anthropometric and cardiovascular measurements in lowlanders at sea level**
602 **and 5050 m and Sherpa at 5050 m.**

603

	Altitude (m)		
	SL	5050	Sherpa 5050 m
Mass (kg)	82 ± 10	78 ± 10	68 ± 10 *†
SaO2 (%)	98 ± 2	82 ± 3 *	83 ± 3 *
Systolic BP (mm Hg)	113 ± 8	127 ± 6 *	120 ± 10
Diastolic BP (mm Hg)	59 ± 5	79 ± 6 *	79 ± 8 *
MAP (mm Hg)	77 ± 4	93 ± 8 *	89 ± 9 *
Heart Rate (bpm)	54 ± 6	61 ± 16	76 ± 14 *†
PASP (mmHg)	19.7 ± 3.0	28.1 ± 4.7 *	28.8 ± 4.8 *

604
605 Data presented are mean ± SD; * p<0.05 vs. sea level; † p< 0.05 vs. 5050m lowlander. SaO2,
606 oxygen saturation; MAP, Mean Arterial Pressure; BP, Blood Pressure; bpm, beats/min;
607 PASP, pulmonary artery systolic pressure.

608 **Table 2. Absolute and relative ventricular structural parameters in lowlanders at sea**
 609 **level and 5050 m and Sherpa at 5050 m.**

	Altitude (m)			
	SL	5050 m	Sherpa 5050 m	
Absolute LV Structural Parameters				
IVSd (cm)	1.21 ± 0.08	1.19 ± 0.14	1.00 ± 0.20	*†
LVIDd (cm)	4.74 ± 0.30	4.57 ± 0.26	* 4.15 ± 0.24	*†
LVPWd (cm)	1.18 ± 0.11	1.10 ± 0.11	1.02 ± 0.09	*
LV Mass (g)	211 ± 22	190 ± 29	* 139 ± 31	*†
EDV (ml)	129 ± 15	107 ± 16	* 82 ± 13	*†
ESV (ml)	54 ± 8	44 ± 8	* 33 ± 7	*†
SV (ml)	75 ± 8	63 ± 10	* 49 ± 8	*†
Q (l/min)	4.0 ± 0.6	3.9 ± 0.7	3.5 ± 0.7	
Ejection Fraction (%)	55 ± 3	58 ± 5	57 ± 4	
LV Eccentricity Index (Systole)	1.03 ± 0.06	1.08 ± 0.06	* 1.08 ± 0.08	*
LV Eccentricity Index (Diastole)	1.06 ± 0.05	1.13 ± 0.09	* 1.13 ± 0.09	*
Relative Wall Thickness	0.51 ± 0.06	0.50 ± 0.05	0.49 ± 0.04	
Relative LV Structural Parameters				
IVSd/ Height (mm/m ^{0.83})	7.55 ± 0.58	7.41 ± 0.92	6.50 ± 1.32	*
LVIDd/ Height (mm/m ^{1.21})	23.71 ± 1.77	22.81 ± 1.52	* 21.90 ± 1.24	*
LVPWd/ Height (mm/m ^{1.11})	6.25 ± 0.70	5.84 ± 0.71	5.67 ± 0.43	*
LV Mass/ Height (g/m ^{3.27})	33.27 ± 5.70	29.61 ± 6.33	* 24.83 ± 5.62	*
EDV/ Height (ml/m ^{3.79})	14.87 ± 2.67	12.41 ± 2.81	* 11.10 ± 1.79	*
ESV/ Height (ml/m ^{1.51})	22.90 ± 3.42	18.54 ± 3.60	* 14.94 ± 2.85	*†
SV/ Height (ml/m ^{3.68})	9.17 ± 1.65	7.79 ± 1.92	* 6.96 ± 1.13	*
Q/ Height (l/min/m ^{2.95})	0.74 ± 0.11	0.72 ± 0.10	0.74 ± 0.11	
Absolute RV Structural Parameters				
EDA (cm ³)	23.3 ± 3.6	23.6 ± 3.1	19.0 ± 2.5	*†
ESA (cm ³)	13.9 ± 2.5	14.8 ± 3.2	11.7 ± 1.9	*†
SV (ml)	77 ± 13	63 ± 16	* 50 ± 10	*†
Relative RV Structural Parameters				
EDA (cm ³ /m ^{1.05})	12.80 ± 2.30	12.96 ± 2.04	10.92 ± 1.41	*†
ESA (cm ³ /m ^{0.79})	8.88 ± 1.74	9.45 ± 2.11	7.72 ± 1.26	†
SV (ml/m ^{3.31})	11.52 ± 1.90	9.51 ± 2.46	* 8.85 ± 2.05	*
RV-LV Proportional Measurements				
RV-LV Basal Diameter Ratio	1.05 ± 0.20	0.97 ± 0.12	1.11 ± 0.13	†
RV-LV Area Ratio	0.67 ± 0.10	0.75 ± 0.07	* 0.72 ± 0.10	

610

611 Data presented are mean ± SD; * p<0.05 vs. sea level; † p< 0.05 vs. 5050m lowlander. IVSd,
 612 interventricular septum diameter diastole; LVIDd, left ventricular internal diameter diastole;
 613 LVPWd, left ventricular posterior wall diastole; EDV, end-diastolic volume; ESV, end-
 614 systolic volume; SV, stroke volume; Q, cardiac output; EDA, end-diastolic area; ESA, end-
 615 systolic area.

616 **Table 3. Left and right ventricular function from Doppler, tissue Doppler and M mode**
 617 **echocardiography.**

	Altitude (m)		
	SL	5050 m	Sherpa 5050 m
Doppler and Tissue Doppler Parameters			
Transmitral E Velocity	0.90 ± 0.14	0.77 ± 0.14 *	0.76 ± 0.20 *
Transmitral A Velocity	0.44 ± 0.08	0.47 ± 0.08	0.53 ± 0.09 *
E/A Ratio	2.05 ± 0.31	1.65 ± 0.22 *	1.47 ± 0.48 *
Septal S'	0.09 ± 0.01	0.09 ± 0.02	0.08 ± 0.01
Septal E'	0.14 ± 0.01	0.11 ± 0.01	0.11 ± 0.03 *
Septal A'	0.08 ± 0.02	0.09 ± 0.02	0.08 ± 0.01
Septal E'/A' Ratio	1.68 ± 0.27	1.27 ± 0.36 *	1.39 ± 0.48
RV S'	0.14 ± 0.02	0.14 ± 0.03	0.13 ± 0.01
RV E'	0.16 ± 0.02	0.15 ± 0.03	0.15 ± 0.05
RV A'	0.12 ± 0.3	0.12 ± 0.02	0.11 ± 0.04
LV IVRT (ms)	55 ± 9	69 ± 14 *	68 ± 11 *
LV IVRT as Percentage of Diastole (%)	8 ± 1	11 ± 2 *	16 ± 4 *†
RV IVRT (ms)	41 ± 11	78 ± 14 *	64 ± 20 *
TAPSE	2.9 ± 0.3	2.3 ± 0.3 *	2.2 ± 0.4 *

619 Data presented are mean ± SD; * p<0.05 vs. sea level; † p< 0.05 vs. 5050m lowlander. E,
 620 early; A, late; S', peak systolic tissue velocity; E', peak early diastolic tissue velocity; A', late
 621 diastolic tissue velocity; IVRT, isovolumic relaxation time; TAPSE, tricuspid annular plane
 622 systolic excursion.

623 **Table 4. Myocardial Mechanics in Lowlanders at sea level and 5050 m and Sherpa at**
 624 **5050 m.**

	Altitude (m)		
	SL	5050	Sherpa 5050 m
Left Ventricular Twist Parameters			
Twist (°)	13.6 ± 2.6	18.1 ± 5.6	15.0 ± 5.6
Systolic Twist Velocity (°/s)	88 ± 24	125 ± 48	93 ± 25
Untwisting Velocity (°/s)	-123 ± 30	-153 ± 38	-93 ± 31 †*
Left Ventricular Basal Parameters			
Basal IVC Rotation (°)	1.6 ± 1.3	3.9 ± 1.9 *	1.9 ± 1.0 †
Basal Rotation (°)	-6.7 ± 1.3	-2.9 ± 1.9 *	-5.2 ± 2.4 †
Basal Systolic Rotational Velocity (°/s)	-63 ± 22	-67 ± 28	-55 ± 25
Basal Diastolic Rotational Velocity (°/s)	63 ± 27	51 ± 24	53 ± 26
Basal Circumferential Strain (%)	17.8 ± 2.5	18.9 ± 3	17.8 ± 2.5
Basal Circumferential Strain Rate (%/s)	1.1 ± 0.1	1.2 ± 0.3	1.1 ± 0.2
Left Ventricular Apical Parameters			
Apical Rotation (°)	7.3 ± 2.2	15.5 ± 4.8 *	10.5 ± 4.3 †
Apical Systolic Rotational Velocity (°/s)	46 ± 13	101 ± 40 *	66 ± 20 †*
Apical Diastolic Rotational Velocity (°/s)	-60 ± 18	-125 ± 30 *	-69 ± 18 †
Apical Circumferential Strain (%)	25.0 ± 4.9	29.2 ± 6.4 *	23.8 ± 3.8
Apical Circumferential Strain Rate (%/s)	1.4 ± 0.3	2.1 ± 0.7 *	1.60 ± 0.3
Left Ventricular Longitudinal Parameters			
Longitudinal Strain Peak (%)	19.1 ± 2.7	18.4 ± 2.1	18.5 ± 1.1
Longitudinal Strain Time to Peak (%)	98 ± 2	103 ± 5 *	102 ± 4 *
Longitudinal Strain Rate Peak (%/s)	1.0 ± 0.2	1.0 ± 0.2	1.0 ± 0.1
Longitudinal Strain Rate Time to Peak (%)	43 ± 10	42 ± 10	42 ± 9
Longitudinal Diastolic Strain Rate Peak (%)	1.5 ± 3	1.2 ± 0.2	1.4 ± 0.3
Longitudinal Diastolic Strain Rate Time to Peak (%)	118 ± 2	122 ± 7	128 ± 9 *
Right Ventricular Longitudinal Parameters			
Longitudinal Strain Peak (%)	24.7 ± 3.2	21.8 ± 2.7 *	18.9 ± 2.5 †*
Longitudinal Strain Time to Peak (%)	99 ± 3	103 ± 3	104 ± 5 *
Longitudinal Systolic Strain Rate Peak (%/s)	1.2 ± 0.2	1.2 ± 0.2	1.1 ± 0.1
Longitudinal Systolic Strain Rate Time to Peak (%)	53 ± 16	40 ± 8 *	41 ± 18 *
Longitudinal Diastolic Strain Rate Peak (%)	1.7 ± 0.4	1.3 ± 0.2	1.5 ± 0.3
Longitudinal Diastolic Strain Rate Time to Peak (%)	117 ± 2	123 ± 7 *	128 ± 8 *

625

626 Data presented are mean ± SD; * p<0.05 vs. sea level; † p< 0.05 vs. 5050m lowlander. Time
 627 to peak is expressed as a percentage with 0-100% for systole and 101-200% representing
 628 diastole.

629





