1	Antibiotic resistance among clinical Ureaplasma isolates recovered from neonates in England
2	and Wales between 2007 to 2013.
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12	Running title: Update of antibiotic resistance among Ureaplasma spp.
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Abstract: 18

19 Ureaplasma are associated with numerous clinical sequelae with treatment options being limited due 20 to patient and pathogen factors. This report examines the prevalence and mechanisms of antibiotic 21 resistance among clinical strains isolated from 95 neonates, 32 women attending sexual health clinic 22 and 3 patients under investigation for immunological disorders, between 2007 – 2013 in England and 23 Wales. Minimum inhibitory concentration was determined by using microbroth dilution assays, and a 24 subset of isolates were compared using broth microdilution method and Mycoplasma-IST2 assay. 25 The underlying molecular mechanisms for resistance were determined for all resistant isolates. Three isolates carried the tet(M) tetracycline resistance gene (2.3%; confidence interval [CI], 0.49 to 26

27 6.86%); two isolates were ciprofloxacin resistant (1.5%; CI, 0.07 to 5.79%) but sensitive to 28 levofloxacin and moxifloxacin, while no resistance was seen to any macrolides tested. MIC values 29 for chloramphenicol were universally low (2 μ g/mL), while inherently high level MIC values for 30 gentamicin were seen (44-66 μ g/mL). The Mycoplasma-IST2 assay identified a number of false-31 positives for ciprofloxacin resistance as the method does not conform to international testing 32 guidelines. While antibiotic resistance among *Ureaplasma* isolates remains low, continued 33 surveillance is essential to monitor trends and threats from importation of resistant clones.

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35 Introduction:

Ureaplasma spp. are gaining recognition as a pathogen in both adult and neonatal patient groups. Availability of standardized molecular detection methods have increased the capacity to identify Ureaplasma in pathological conditions, which was previously difficult to identify by specialized culture-based methods. In adults *Ureaplasma* have been linked with non-gonococcal urethritis, arthritis, meningitis, chorioamnionitis and preterm labour whereas in neonates links have been made with bronchopulmonary dysplasia, neonatal pneumonia and meningitis (5, 6, 12, 17, 19, 21).

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Upon diagnosis of infection, treatment options are limited for a number of reasons. The absence of a bacterial cell wall renders *Ureaplasma* spp. intrinsically resistant to all beta-lactam and glycopeptide antibiotics. The three classes of antibiotic which are recognized as active against ureaplasma are the quinolones, tetracyclines and macrolides. These treatment options are further limited in situations with neonates where the only recognized treatment is with a macrolide due to associated toxicity of the tetracyclines and quinolones (13).

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Although two human associated *Ureaplasma* species have been recognized since 2002, *Ureaplasma urealyticum* and *Ureaplasma parvum*, many diagnostic laboratories still do not differentiate and report
findings as *U. urealyticum* by default (16). This lack of discrimination hinders epidemiological data

and has partly been accountable for the lack of understanding and potential varied pathogenicity of the
two species. A recent systemic review and meta-analysis by Zhang *et al.*, has supported the idea of *U*. *urealyticum* contributing to the development of non-gonococcal urethritis (NGU) whereas *U. parvum*does not (24). These data suggest that *U. urealyticum* may be a true pathogen in this situation
whereas *U. parvum* represents a commensal.

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In this report we describe the prevalence of antibiotic resistance among isolates of *Ureaplasma* from England and Wales in addition to the mechanisms of resistance. We also include susceptibility testing for *Ureaplasma* spp. against chloramphenicol and gentamicin, which do not act on the cell wall but on the ribosome as the mechanism of action.

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64 Materials and Methods:

65 *Clinical samples*

66 A total of 130 clinical *Ureaplasma* spp. isolates from anonymized unique patient samples originally 67 submitted for clinical diagnostics between 2007 and 2013 were examined (Table 1). Species of 68 Ureaplasma was determined by PCR as previously described (18). Sample source comprised a variety 69 of patient groups: 61 neonatal endotracheal samples (15 U. urealyticum / 46 U. parvum) from Public 70 Health England reference laboratory, 32 cervical samples (5 U. urealyticum / 27 U. parvum) from 71 private sexual health patients, 18 neonatal endotracheal samples (4 U. urealyticum / 14 U. parvum) 72 from University Hospital of Wales, 16 neonatal endotracheal samples (4 U. urealyticum / 12 U. 73 parvum) from Derriford Hospital and 3 urine samples from patients with immune deficiencies from 74 University Hospital of Wales (U. parvum).

76 Determination of antibiotic resistance with broth microdilution and 77 Mycoplasma-IST2

78 Determination of minimum inhibitory concentration (MIC) and breakpoints were carried out as 79 previously described by Beeton et al.,(4) which adheres to the Clinical and Laboratory Standards 80 Institute guidelines (20). Antibiotics used for MIC were tetracycline, doxycycline, ciprofloxacin, 81 levofloxacin, moxifloxacin, erythromycin, azithromycin, clarithromycin, chloramphenicol and 82 gentamicin at a range of 0.06 μ g/mL to 64 μ g/mL. Breakpoint values were 2 μ g/mL tetracycline, 4 µg/mL ciprofloxacin and 4 µg/mL azithromycin. Antibiotics were purchased from Sigma-Aldrich 83 84 (Dorset, UK) and Ureaplasma selective media (USM) was supplied by Mycoplasma Experience Ltd 85 (Surrey, UK). Twenty clinical samples submitted for testing to the Public Health England laboratory 86 were examined in parallel to standard methods with the Mycoplasma-IST2 (bioMérieux, France) 87 assay as per the manufacturer's instructions; eight were found to be positive for Ureaplasma spp. and 88 identified resistance for ciprofloxacin for all isolates was followed up by appropriate broth 89 microdilution methods.

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91 **PCR** and sequencing of resistance genes

92 PCR and sequencing of the QRDR of ciprofloxacin resistant strains was carried out as previously 93 described and aligned to the *U. parvum* SV3 reference genome of ATCC 700970 (2, 3). Confirmation 94 of the *tet*(M) gene in tetracycline resistant strains was determined by PCR using the forward primer 95 IntMtet1 located at position 309-328 bp and reverse primer tet2 located at position 832-851 bp in the 96 coding region (Tm=55°C, 35 cycles, amplicon = 543 bp). Extended sequencing of the *tet*(M) gene was 97 accomplished using the tetMF-78 and tetM-R_2123 primers. All primers have been previously 98 published.(4, 8)

100 Statistical analysis

Statistics for the mean, standard deviation, standard error, and confidence intervals for MIC values for
 U. parvum and *U. urealyticum* were determined using GraphPad Prism and comparison of values
 between these species was performed via Students t-test.

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106 **Results:**

107 **Prevalence of resistance**

108 Using the adapted broth microdilution technique we were able to identify two isolates resistant to 109 ciprofloxacin (U6 32 μ g/mL and HPA116 16 μ g/mL), and three isolates which were tetracycline 110 resistant (Table 1). This gave a prevalence of resistance of 1.5% (CI + 2.09) and 2.3% (CI + 2.58), 111 respectively, for each antibiotic. No breakpoint values for resistance of ciprofloxacin are available in 112 the CLSI guidelines (20); however, published breakpoints for moxifloxacin and levofloxacin indicate 113 resistance to be $\geq 4 \,\mu g/mL$. Both strains U6 and HPA116 were more sensitive to moxifloxacin (1 114 $\mu g/mL$) and levofloxacin (2 $\mu g/mL$) (Table 2), but these values were still higher than our susceptible 115 strains: $\leq 0.25 \ \mu g/mL$ for moxifloxacin and $\leq 0.5 \ \mu g/mL$ for levofloxacin (data not shown). All 130 116 isolates were sensitive to the macrolide antibiotics erythromycin and azithromycin as well as chloramphenicol. All strains had an intrinsically high MIC for gentamicin (mean values of 42 µg/mL 117 118 U. parvum and 66 µg/mLl U. urealyticum). No co-resistant strains were identified. The mean MIC of all antibiotics was significantly higher for U. urealyticum than U. parvum with exception of 119 120 chloramphenicol and azithromycin (Table 3).

122 Screening for tetracycline resistance gene

123 Tetracycline resistance is well characterized among Ureaplasma species and is associated with the 124 presence of the horizontally acquired tet(M) resistance gene. We screened DNA isolated from all 125 isolates by PCR for the presence of the tet(M) gene and identified three positive strains of the 130 126 isolates (Table 4). Interestingly, broth culture screening for tetracycline resistance only identified two 127 of these isolates (HPA111, MIC = 64 and Ply157, MIC = 8), while the third tet(M)-positive isolate 128 was initially sensitive to tetracycline (HPA71 MIC = 1). However, subculture from the lowest sub-129 inhibitory concentration of tetracycline found increased MIC for HPA111 (MIC >64) and Ply157 130 (MIC=64), while HPA71 remained sensitive (MIC=2). A second serial challenge with tetracycline found that resistance had been induced for HPA71 (MIC=64). This induction of resistance in HPA71 131 132 was repeated twice with identical results. Therefore, screening for the presence of the tet(M) gene is less likely to miss resistant isolates than microbroth dilution methods for tetracycline resistance. We 133 134 sequenced the 3' region of the tet(M) gene for the three isolates identified as tet(M) positive (two 135 phenotypically resistant, one initially phenotypically sensitive). From this we identified that HPA71 136 and HPA111 were most closely related to the previous Vancouver SV9 sequence, whereas Ply157 137 was a chimera of both Vancouver and Seattle sequences (Table 4). No mutations within the 3' region 138 were identified to explain the required induction of tetracycline resistance for HPA71 (accession 139 number KT267561). Susceptibility to doxycycline was similar to that observed for tetracycline for the 140 resistant isolates (Table 2).

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142 Molecular mechanism for ciprofloxacin resistance

Molecular characterization was undertaken on two identified ciprofloxacin resistant isolates using previously described PCR-sequencing of the QRDR (2, 4). Sequence analysis aligned to the published genome of *U. parvum* SV3 ATCC 700970 revealed two amino acid substitutions of V3D and E87K in ParC of isolate U6 and a S83L ParC substitution in isolate HPA116.

148 Identification of resistance using the MIST2 test

149 The bioMérieux Mycoplasma IST2 kit was used to screen a subset of twenty submitted samples and 150 the results for resistance to a spectrum of biologically active antibiotics. From the 20 samples 151 examined 8 were found to be Ureaplasma spp. positive and all gave a reading of resistance to both the 152 lower (1 μ g/mL) and higher (2 μ g/mL) levels for ciprofloxacin. The assay also showed that all 153 *Ureaplasma* were able to grow in 1 μ g/mL of ofloxacin, but not the higher 4 μ g/mL concentration. However, using the accepted international MIC broth microdilution technique, repeated in duplicate, 154 155 three of these ciprofloxacin isolates had an MIC = 1 $\mu g/mL$ (identified as U. parvum), three had an 156 MIC = 2 μ g/mL (identified as *U. parvum*) and two had an MIC = 4 μ g/mL (identified as *U.* 157 *urealyticum*). The microbroth dilution values determined that all of these isolates were sensitive to 158 ciprofloxacin and consistent with the MIC₉₀ for their respective species (Table 3).

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162 **Discussion**

Over recent years ureaplasma have gained increasing recognition as a pathogen in numerous clinical presentations. Due to physiological properties of the organism, and in some cases the patient population, treatment options are highly restricted to only a few classes of antibiotics. Therefore it is imperative to monitor trends in resistance both England and Wales and at an international level so that treatment options remain open. In this study we report that the prevalence of antibiotic resistance in England and Wales remains low to the three major classes of antibiotic used to treat *Ureaplasma* infections.

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We last reported the prevalence of antibiotic resistance in *Ureaplasma* among isolates in England and
Wales for samples collected before 2007 (4). At this point in time 1.6% of isolates collected in
England and Wales between 2003 and 2007 were resistant to one of the three main classes of

174 antibiotics and no dual resistance was identified. Here from a larger cohort of 130 isolates we report a 175 similar level of resistance to ciprofloxacin (1.5%) and presence of the tetracycline resistance gene 176 (2.3%), whereas macrolide resistance was absent. This is a reassuringly low level of resistance when 177 compared with international reports. For example, Ye et al. reported 75% and 53% resistance to 178 ciprofloxacin and ofloxacin, respectively (23). High levels of tetracycline resistance (73%) have been 179 documented in South African studies as well as high levels of azithromycin resistance (29%) among 180 patient cohorts in India (10, 15). This high level of macrolide resistance is of significant concern in 181 the context of treating neonatal disease. Although comparisons can be made between studies it is 182 crucial to observe the methods used to detecting resistance. For example Ye et al., used the 183 Mycoplasma IST2 test, which from our data identified a number of false positive results with regards 184 to ciprofloxacin when compared to the standardized microbroth dilution technique (23). In addition 185 the breakpoints and antibiotics used in this test are not in line with the recommended CLSI guidelines 186 (20). In particular the input inoculum for this assay is not standardized and cannot be measured by 187 this assay, likely the cause of the false resistance results. Of interest from our data was the MIC 188 values seen for U. urealyticum were significantly higher when compared with U. parvum for most 189 antibiotics tested. As U. parvum and U. urealyticum are recognized as two independent species, this 190 is not a surprising finding.

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192 Understanding the underlying mechanism of resistance is imperative. Sequence analysis of the 193 QRDRs of isolate U6 identified two non-synonymous mutations resulting in the amino acid 194 substitutions of V3D and E87K in ParC protein. From our previous work cataloguing the species and 195 serovar specific differences it is possible to definitively assign the E87K substitution to the 196 phenotypic resistance (3). This substitution has been noted before in France by Bebear et al., who 197 reported isolate UUc with the E87K substitution with a ciprofloxacin and ofloxacin MIC of 8 µg/mL 198 (1). Interestingly although isolate U6 harbors the same point mutation as UUc, the MIC value was 4-199 fold greater. Previously the V3D substitution may have been classified as contributing to the resistant 200 phenotype of U6, yet this substitution is now known as a serovar specific polymorphism whereby U.

201 parvum SV3 and all serovars of U. urealyticum encode a valine residue, whereas serovars 1, 6 and 14 202 encode aspartic acid at position three for ParC. It is unlikely that the E87K substitution requires this 203 second V3D substitution to exert the phenotypic resistance due to the absence of the aspartic acid 204 residue in the French UUc strain. The second ciprofloxacin resistant strain (HPA116) was identified 205 to harbor the predominant quinolone resistance determining mutation S83L. This mutation has been 206 described numerous times from patient cohorts from the USA, China and France, but this is the first 207 description among UK isolates (1, 11, 22, 25). As the mechanism for quinolone resistance is mutation 208 driven and not horizontally transferred, the likelihood of spread is limited as it would be clonal and 209 could account for the relatively low level of resistance within these organisms.

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211 Tetracycline resistance is well characterized among Ureaplasma and mediated via the acquisition of 212 the tet(M) resistance element giving ribosomal protection (7). As expected all tetracycline resistant 213 strains in this study were positive for *tet*(M) in addition to a tetracycline sensitive isolate (HPA71). 214 By characterizing *tet*(M) positive strains it is possible to track the emergence of new sequence 215 variants within the UK. From these data we identified two out of three tet(M) positive strains to be 216 identical to the Vancouver sequence which we have previously described in the UK, but curiously the 217 tet(M) sequence of isolate Ply157 was a chimera of both Vancouver and Seattle strains. This is 218 unlikely an artifact as it was confirmed by multiple sequencing experiments performed on this isolate. 219 As with our study in 2009 we identified a single isolate which was tet(M) positive, but phenotypically 220 sensitive to the antibiotic (HPA71). We were successful in inducing expression and resultant 221 resistance for this strain (but not other sensitive strains examined in parallel) with the presence of low 222 levels of tetracycline in the culture medium. This brings into question the methods used for screening 223 tetracycline resistance among Ureaplasma. When examining tetracycline resistance it may be 224 necessary to screen by both culture and molecular methods to identify strains which harbor tet(M) 225 variants which require induction via presence of the antibiotic. The inducible nature of some *tet*(M) 226 genes has been previously reported in Mycoplasma hominis, but this is the first description among 227 Ureaplasma (9). From the three main classes of antibiotics active against Ureaplasma, tetracycline

poses a significant threat due to the horizontally transferable nature of the mobile element and itspotential to disseminate within a population.

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233 We also compared the commercial assay Mycoplasma-IST2 against the international broth 234 microdilution methods as outlined by the Clinical and Laboratory Institute Standards (20). We found 235 that mixed isolation of Ureaplasma and Mycoplasma hominis of one sample showed as a false-236 positive macrolide resistance due to the intrinsic macrolide resistance seen among M. hominis., and 237 that all Ureaplasma positive samples were found to be resistant to the low $(1 \ \mu g/mL)$ and high (2 238 µg/mL) concentrations of ciprofloxacin provided in the kit (14). However, broth microdilution 239 evaluation of these found that three of the isolates had an MIC = 1 μ g/ml and 3 of the isolates had an 240 MIC = 2 μ g/mL. All of these isolates were U. parvum. The remaining two isolates were U. 241 *urealyticum* and had an MIC = 4 μ g/mL which is within keeping with the slightly higher CI₉₅ determined to be between 2.64-3.66 μ g/mL for ciprofloxacin. Therefore, none of the isolates were 242 243 actually resistant to ciprofloxacin, relative to normal sensitivity ranges for the organisms tested and 244 questions the data obtained from this assay. Moreover, it could lead to inappropriate reporting of 245 antibiotic resistance if used by researchers without a clear understanding of the internationally 246 accepted methods and criteria for true antibiotic resistance.

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Antibiotic resistance in England and Wales remains low. The high levels of resistance internationally poses a threat of import into the UK and therefore continual surveillance is required to keep tract of resistance patterns. While it is tempting to attribute the continued low antibiotic resistance rates in the England and Wales to vigilance in keeping antibiotic prescription to a minimum, the geographic differential in antibiotic resistance is unlikely to be maintained, particularly with increasing travel between countries in combination with the increased prescribing of macrolide antibiotics for *N*. *gonorrhoeae, Chlamydia trachomatis* and *Mycoplasma genitalium* infections. An international collaborative working group to ascertain and provide known susceptible and resistant control strains for validation to laboratories for use in clinical assays and research prior to publication of results, along with increased reviewer scrutiny could increase antibiotic resistance determination following internationally accepted MIC methods and ensure the molecular identification of proven mechanisms of resistance and importantly the essential differentiation of *Ureaplasma* species.

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264

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272 **Transparency declarations**

273 None to declare

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366 Table 1. Source and year of isolation for *Ureaplasma* species used for MIC determination.

Source	2007	2008	2009	2010	2011	2012	2013	total
PHE (PCR +)	N/A	N/A	N/A	28	47	33	60	168
PHE (PCR -)	N/A	N/A	N/A	74	137	182	194	587
PHE	8	19	19	10	5			61
(recovered for								
MIC)								
UHW (+)	7	2		2	3	3	1	18
UHW (-)	17	6		2	2	4	9	40
Plymouth (+)					2	8	6	16
Plymouth (-)					19	20	10	49
RGH (+)					3	20	9	32
RGH (-)					6	36	15	57
Urine (+)		1	2					3
Urine (-)		3	6					9

367 Legend: Samples were obtained from Public Health England (PHE), University Hospital of Wales

368 neonatal intensive care unit (UHW) or immunological out-patients (Urine), Derriford Hospital

neonatal intensive care unit (Plymouth) or the Royal Glamorgan Hospital (RGH). Not all PHE isolates

370 were recoverable from frozen archives for MIC determination. N/A = data not available.

378	Table 0	Overview of antibiotic resistant isolates identified from UK samples between 2007 to 2013.
5/0	Table 2.	Overview of antibiotic resistant isolates identified from UK samples between 2007 to 2015.

Isolate	Species o	f Antibiotic resistance	Mechanism of	
	Ureaplasma	(MICµg/mL)	resistance	
U6	U. parvum	Ciprofloxacin (32)	E87K in ParC	
		Levofloxacin (2)		
		Moxifloxacin (1)		
HPA116	U. parvum	Ciprofloxacin (16)	S83L in ParC	
	_	Levofloxacin (2)		
		Moxifloxacin (1)		
HPA111	U. urealyticum	Tetracycline (64)	<i>Tet</i> (M) positive	
		Doxycycline (16)		
PLY157 U. parvum		Tetracycline (8)	<i>Tet</i> (M) positive	
	-	Doxycycline (8)		
HPA71	U. urealyticum	Tetracycline (64*)	<i>Tet</i> (M) positive	
			_	

379 * MIC following challenge with tetracycline (initial MIC = $1\mu g/mL$)

- 381 Table 3. Comparison of MIC₅₀ and MIC₉₀ concentrations of various antibiotics between *U. parvum*
- and *U. urealyticum*.
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Antibiotic	Total	U. parvum		U. ur	U. urealyticum	
	Ureaplasma	MIC ₅₀	MIC ₉₀	MIC ₅₀	MIC ₉₀	
	resistant					
Tetracycline	3	0.25	0.5	0.5	2	<0.001
Ciprofloxacin	2	1	2	2	4	< 0.001
Erythromycin	0	1	2	2	4	< 0.003
Azithromycin	0	0.25	0.25	0.25	0.25	ns
Chloramphenic	0	2	4	2	4	ns
ol						
Gentamicin	130	32	64	64	128	<0.01

384 P-value represents a student's t-test comparison of the individual MIC values for all *U. parvum*

isolates compared to the MIC values for all *U. urealyticum* isolates.

386

387 Table 4. UK *tet*(M) positive isolates compared with reference strains at the amino acid level

Isolate Amino acid position							
	209	216	223	338	348	496	627
Vancouver	Q	L	S	К	Т	D	Q
Seattle	Н	V	N	R	Ι	E	R
HPA71	Q	L	S	K	Т	D	Q
HPA111	Q	L	S	K	Т	D	Q
Ply157C	Н	V	Ν	К	Т	D	Q