

---

## 1 Neonatal desensitisation for the study of regenerative medicine

### 2 Summary

3 Cell replacement is a therapeutic option for numerous diseases of the central nervous system (CNS).  
4 Current research has identified a number of potential human donor cell types, for which pre-clinical  
5 testing through xenotransplantation in animal models is imperative. Immune modulation is  
6 necessary to promote donor cell survival for sufficient time to assess safety and efficacy. Neonatal  
7 desensitisation can promote survival of human donor cells in adult rat hosts with little impact on the  
8 health of the host and for substantially longer than conventional methods, and has subsequently  
9 been applied in a range of studies with variable outcomes. Reviewing these findings may provide  
10 insight into the method and its potential for use in pre-clinical studies in regenerative medicine.

11 **Keywords:** Transplantation, rodent models, cell replacement, Huntington's disease,  
12 immunosuppression, xenograft, desensitisation, rejection

13

---

**14 Why is long term assessment of human neural grafts in rodent hosts needed?**

15 Regenerative medicine seeks to provide therapies for a wide range of diseases that are associated  
16 with the loss or dysfunction of a specific population of cells. Two candidate neurological conditions  
17 with relatively focussed loss of specific neuronal populations are Parkinson's disease (PD), in which  
18 there is loss of dopaminergic projection neurons in the substantial nigra, and Huntington's disease  
19 (HD) in which there is loss of medium spiny projection neurons (MSNs) from the striatum.  
20 Implantation of primary foetal cells (PFCs) taken directly from the developing brain has provided  
21 proof of concept that transplantation has the potential to be an effective therapeutic option in PD  
22 and HD in both animal [1-3] and clinical studies [4, 5]. However, PFCs are not a practical option for  
23 routine use in the clinic for several reasons. In particular, in order to implant sufficient cells of a  
24 specific striatal or dopaminergic phenotype several foetal donors are necessary for each patient  
25 (potentially up to 6 in HD, and 8-12 in PD) [6, 7]. As storage of PFCs prior to transplantation is  
26 currently limited to a maximum of 8 days in hibernation medium [8], clinical transplantation relies  
27 on the availability of sufficient donor tissue within this time window. Furthermore, as patients  
28 receive transplants from different foetal donors, issues can arise with the variability and purity of the  
29 cells that may affect the success of the transplants [7, 9, 10]. Additionally, the risk of a graft  
30 containing cells that are immunologically incompatible is raised (see below).

31 Thus, as well as refining the transplantation methodology, current research aims to identify sources  
32 of potential donor cells that will offer a practical alternative to PFCs; which is most likely to be one or  
33 more of the stem cell sources [11]. It will be important that such cells can produce large stable  
34 populations of clinical grade cells that can be quality controlled and standardised across transplants.  
35 However, an equally important requirement of donor cells is that they are able to recapitulate the  
36 precise features of the cells that have been lost to the disease process [12]. For example, for PD this  
37 requires the transplantation of cells which can not only generate a dopaminergic phenotype, but will  
38 specifically become dopamine cells of the A9 midbrain phenotype. For HD, this means generating

39 cells of a MSN phenotype that possess all the features of the native cells which arise from the foetal  
40 whole ganglionic eminence (WGE) and are able to make appropriate synaptic connections post  
41 transplantation. Not surprisingly, developing effective protocols that achieve this and can be  
42 translated for GMP (good manufacturing practice) cell production is difficult and usually requires  
43 months/years of protocol refinements *in vitro* for a single cellular phenotype. Although it is possible  
44 to use cellular markers *in vitro* to assess several aspects of the differentiated cellular phenotype,  
45 ultimately it is necessary to transplant cells into animal models of disease to allow the cells to  
46 develop fully, make connections with appropriate neuronal inputs and outputs, and display their full  
47 mature phenotype. Indeed, although there are many reports of stem cells being manipulated to  
48 express specific neuronal phenotypes *in vitro*, very few have shown convincing comprehensive  
49 behavioural efficacy in animal models. Furthermore, assessments must be made to ensure that the  
50 donor cell populations do not contain small numbers of cells which may be capable of tumour  
51 formation.

52 There are practical issues associated with transplanting human cells into the rodent brain. Although  
53 the brain is recognised as a relatively immune privileged site, it is clear that this is not absolute [13]  
54 and human cells in the rat brain will be rejected over a period of approximately three weeks without  
55 effective modulation of the host immune response [14].

---

**56 Commonly used methods of immunosuppression**

57 Immunosuppressant drugs such as Cyclosporine A (CsA), Tacrolimus (FK-506) and Sirolimus  
58 (Rapamycin) are commonly used to promote survival of xenografts in rodent hosts. Using one or a  
59 combination of these drugs or additional compounds can promote survival of human cells  
60 transplanted into the CNS and may be used to prevent xenograft rejection. Immunosuppression with  
61 daily injections of CsA is frequently used in rodent hosts. We have found up to 75-80% survival of  
62 neural xenografts in rat hosts immunosuppressed with CsA for up to a maximum of 20 weeks post-  
63 transplantation [15]. Variability in xenograft survival with the same treatment has been reported in  
64 some studies [16, 17], suggesting inconsistencies amongst different host animals and following the  
65 transplantation of different cell types. In particular, although reliably promoting the survival of  
66 human xenografts in the rat brain, we have found the same treatment to be much less reliable in  
67 promoting survival of human transplants in the mouse brain [18] and it is possible that the use of an  
68 increased dose of CsA may be required in this species [17]. CsA treatment demands daily injections  
69 that are stressful to the animal and, with long term use, result in severe adverse side effects  
70 including renal toxicity [19, 20]. Although immunosuppressive treatment is useful for relatively short  
71 term evaluation of graft survival and functional improvement, beyond 16 weeks animal health  
72 begins to deteriorate, precluding functional testing up to full differentiation of transplanted human  
73 cells, which can take approximately four times longer than rodent cells to reach maturity [21, 22].  
74 Thus realising the true potential of the cells is prevented in this model.

75 There have been a number of reports of successful tolerance to transplants following blocking of  
76 costimulatory molecules. In a recent study in adult murine hosts, three costimulatory receptor  
77 blocking antibodies (CTLA4-Ig, Anti-LFA-1 and anti-CD40L) were administered at 0, 2, 4 and 6 days  
78 post-transplantation to induce tolerance to xenogeneic human ESC and iPSC derived transplants  
79 [23]. This treatment successfully prevented rejection compared to no treatment or tacrolimus and  
80 sirolimus treatment. Mouse hosts were tolerant to donor cells, exhibiting T cell anergy and no

81 detrimental effects on the hosts' immunity to other cell types were observed [23]. Although offering  
82 a potential method of avoiding immune rejection; survival so far has only been demonstrated up to  
83 8 weeks post transplantation [23].

84 Immune compromised rats or mice are common transplant hosts, since they lack the ability to  
85 mount an immune response to xenografted tissues. A number of useful host models exist, in both  
86 rats and mice, for the assessment of donor cell differentiation and integration. The long term  
87 assessment of grafted cells *in vivo* is necessary to investigate any changes which may not be  
88 detected in short term survival studies afforded by immunosuppressant drug treatments. To this  
89 end, the phenotype of transplanted human PFC- and ESC- derived cells has been investigated *in vivo*  
90 by several groups using athymic nude rats [24-26]. For example, Aubry and colleagues transplanted  
91 human ESC-derived striatal progenitors into immunocompetent hosts treated with conventional  
92 immunosuppression to assess short term graft survival, and into immunodeficient hosts to study  
93 long term cell maturation and integration [27]. Positive results regarding their differentiation  
94 protocol were identified in the short term, however longer term assessment in nude rats revealed  
95 overgrowth by around 2 months post-transplantation [27]. This would not have been identified with  
96 only short term assessment of graft development, thus highlighting the value of a model which  
97 permits adequate assessment of the maturation of grafts in the long term. Numerous  
98 immunodeficient mouse models also exist including; severe combined immunodeficient (SCID) mice  
99 and *Rag1* or *Rag2* knockout mice; which lack mature B and T lymphocytes respectively [28, 29] thus  
100 tolerating human transplants without rejection.

101 However, histological assessment of the graft is not by itself sufficient, and it is important that  
102 evaluation of grafts also includes functional assessment. Due to their susceptibility to infection and  
103 vulnerability with repeated handling, immune compromised hosts are problematic for behavioural  
104 testing.

105 Another strategy for achieving xenograft survival is to transplant into the early neonatal period while  
106 the immune system is still immature and before the circulation of mature T cells [30]. Neural  
107 xenotransplants, e.g. of mouse or human tissue are known to survive long term in the neonatal rat  
108 brain when delivered up to 8 days of age, although beyond this point transplants are rejected [31,  
109 32] due to maturation of the host immune system. Although the survival of such grafts is unstable,  
110 with a peripheral immunological challenge of an i.p. injection of xenogeneic donor cells or damage  
111 to the blood brain barrier (BBB) resulting in rejection [33], this can provide a useful model for testing  
112 donor cells, allowing *in vivo* assessment of safety and differentiation of human donor cells in the  
113 rodent brain or retina [34-36]. As discussed later however, the privilege of neonatal transplant  
114 survival has not been replicable in mouse hosts [37]. The neonatal brain cannot be considered to be  
115 comparable to the adult brain, since it provides a more permissive environment with the presence of  
116 more developmental signals. Findings may therefore not be representative of the therapeutic  
117 situation, in which immature cells are delivered to the adult brain.

118 Although these methods can provide a number of potential approaches for allowing the survival of  
119 human xenografts in rodent disease models, none provide a solution in which full graft maturation  
120 and functional efficacy of transplanted cells may be assessed long term. Therefore an alternative  
121 method is required for adequate testing of potential human donor cell types for transplantation.

122

---

**123 Neonatal desensitisation as a method for assessing xenografts in the CNS**

124 Cells implanted to the early neonatal rat brain appear to be recognised as “self” and are not rejected  
125 even once the immune system has matured [31, 32]. Based on this phenomenon we explored  
126 whether injecting human cells peripherally in the early neonatal period would allow the survival of  
127 similar cells subsequently transplanted into the brain in adulthood. We demonstrated that an  
128 intraperitoneal (i.p.) injection of a suspension of human PFCs from the developing cortex hPF(ctx)  
129 into a neonatal rat promotes the survival of a subsequent striatal transplant of human PF brain  
130 without further immunosuppression [15]. A schematic of this method is outlined in Figure 1.  
131 Neonatal injections were found to provide optimum survival when delivered between postnatal day  
132 (P)0 and P5; those injected at P10 or as adults did not support the survival of subsequent neural  
133 transplants. Crucially, survival of human cells was demonstrated until at least 40 weeks post-  
134 transplantation following desensitisation and transplantation with a range of different tissue types.  
135 Graft survival rates in desensitised hosts were also found to be comparable to hosts treated daily  
136 with CsA (~62-87% and 75-77% respectively, see Table 1), although survival in CsA  
137 immunosuppressed hosts could only be assessed up to 12-16 weeks due to poor health of the  
138 animals [15].

139 The mechanisms by which neonatal desensitisation protects adult neural xenografts from rejection  
140 by the host immune system are currently unclear. A similar phenomenon has been previously  
141 described with the induction of neonatal allograft tolerance in mice [38, 39]. Allograft tolerance has  
142 been achieved in mice following the injection of spleen [40, 41], liver [42], or bone marrow cells [43]  
143 in the neonatal period, in the same way as we have demonstrated with neural xenografts [15]. It is  
144 thought that the induction of neonatal tolerance requires the persistent presence of donor antigen  
145 during the neonatal period to expose the developing immune system to donor cells during the  
146 determination and recognition of self-antigens, resulting in recognition of both donor and host  
147 tissues as self [44]. However since the cells injected into neonatal rats for neonatal desensitisation

---

148 are from a different foetal donor to those used for adult transplants, much more variability will exist  
149 between the donor antigens to which the developing immune system is exposed and those which  
150 are delivered to the adult host. No tolerance to a specific antigen can therefore be assumed, making  
151 the mechanisms behind effective desensitisation to neural tissue transplants from a range of donors  
152 unclear.

---

**153 Neonatal desensitisation in the rat host**

154 The desensitisation method is now routinely and reliably used for transplantation experiments in our  
155 lab to test the maturation and functional efficacy of human xenografts in rodent models of both PD  
156 and HD (summarised in Table 2). In the majority of cases host animals were injected neonatally (i.p.)  
157 with a suspension of  $1 \times 10^5$  hPF(ctx), since this is the most abundantly available hPF tissue type.  
158 Successful application has been demonstrated in both Sprague Dawley (SD) and Lister Hooded (LH)  
159 rat hosts using lesion models of both PD and HD. Survival of hPF(ctx), hPF(WGE), ventral  
160 mesencephalon (hVM), and human embryonic stem cell (hESC)-derived neurons have all been found  
161 following desensitisation with hPF(ctx), and survival is comparable to that of transplants in hosts  
162 immunosuppressed daily with CsA. However, human foetal cells are not widely available and thus it  
163 was important to assess whether human cells of a non-foetal origin were capable of inducing  
164 desensitisation. As can be seen from Table 2, desensitisation was successfully induced following  
165 neonatal injections of non-foetal cells such as FNP-derived and ES-derived neuronal progenitors, and  
166 even non-neural cells.

167 There is some variability in the percentage of grafts surviving in both desensitised and CsA treated  
168 hosts that is as yet unexplained. Some of this may be due to poor viability of the donor cells, but it  
169 may also be the case that a strong mismatch between the cells used for desensitisation and those  
170 subsequently transplanted in adulthood, so that the latter are not adequately protected. For  
171 example, Table 2 shows that in host animals that were desensitised with hPF(ctx) cells from embryo  
172 A and subsequently then grafted with cells from embryo C, the survival of grafts is poorer than when  
173 the grafts are from embryo D, suggesting that A and C may be less well matched than A and D.  
174 Currently the numbers of animals included in such comparisons is too low to draw firm conclusions,  
175 but this sort of analysis may provide important insight into the mechanisms behind neonatal  
176 desensitisation and deserves further investigation. An additional caveat of these studies which may  
177 have caused variability in the data from desensitisation experiments is the use of outbred rat stocks

178 (SD and LH). Differences in MHC haplotype between host animals may result in different host  
179 responses to transplanted human donor cells. These studies therefore require replication using an  
180 inbred rat strain to reduce this variability and allow firmer conclusions to be reached from the data.  
181 An inbred strain that is suitable for behavioural studies would be optimal, in order to provide hosts  
182 which can be successfully desensitised, transplanted and undergo a battery of motor and cognitive  
183 behavioural tasks.

184 A number of other groups have used desensitisation to promote survival of various human cell types  
185 in animal models of disease. For example, rat hosts with induced knee cartilage defects were  
186 successfully desensitised with  $1 \times 10^5$  hESC-derived mesenchymal stem cells (hESC-MSC) [45]. These  
187 hosts could support survival of a collagen bilayer scaffold seeded with hESC-MSC for at least 8 weeks  
188 post-transplantation, whereas animals that were not desensitised neonatally showed increased T  
189 cell infiltration and transplant rejection [45]. Another group aimed to determine whether human  
190 Müller glia stem cells have the potential to differentiate into retinal ganglion cells (RGC) when  
191 transplanted into a LH rat model of RGC depletion [46]. In order to test this, survival of transplanted  
192 human Müller glia stem cells was achieved using a combination of neonatal desensitisation with  
193  $1 \times 10^5$  cells of the same type, and daily administration of oral CsA, prednisolone and azathioprine.  
194 Hosts were transplanted at 3-4 weeks of age with undifferentiated stem cells or RGC precursors,  
195 both of which were found to survive under these conditions up to 4 weeks post transplantation [46].  
196 Subsequently this group has also successfully assessed the survival of photoreceptors derived from  
197 hMSC following transplantation into rats, with the use of the same desensitisation and  
198 immunosuppression method [47].

199 Although neonatal desensitisation has been successfully used to promoting survival of various  
200 human cell types in rat hosts, there have also been some negative reports [16, 48]. Human cord  
201 blood derived neural stem cells (HUCB-NSC) were used for desensitisation of Wistar rats at birth.  
202 Neural transplants of HUCB-NSC were delivered to 6 week old desensitised hosts and survival

203 assessed at various time-points post transplantation. The presence of human cells was confirmed at  
204 early time-points (1 and 3 days after transplantation), and a substantial decrease in cell number  
205 reported subsequently, (7 and 14 days) and no cells detected 21 days after transplantation [48]. As  
206 survival was shown in *rag2<sup>-/-</sup>* mice for 3 weeks and reported for up to 5 weeks, the authors  
207 concluded that neonatal desensitisation was not successful using this cell type. In a subsequent  
208 publication, this group also reported the failure of neonatal desensitisation to promote survival of  
209 HUCB-NSC beyond 21 days following transplantation into infarcted rats [16]. In this case, however,  
210 poor graft survival was also found in control hosts treated with either CsA or a triple  
211 immunosuppression regime (CsA, azathioprine and methylprednisolone) [16]. Thus, this study does  
212 not conclusively demonstrate ineffectiveness of neonatal desensitisation, but may be simply  
213 indicative of a problem with survival of this donor cell type, or survival within this lesion model in  
214 this specific strain of rat. This highlights the need for adequate demonstration of survival of human  
215 donor cells in the immunocompetent adult host brain (e.g. immunosuppressed adult rats of the  
216 same strain), in order to define whether the failed graft is a result of immune rejection or that the  
217 cells have failed to survive for some other reason.

218

---

**219 Neonatal desensitisation of mouse hosts**

220 Although successful neonatal desensitisation of rat hosts has been demonstrated on numerous  
221 occasions, the same has not been shown in mice. Our initial studies were all carried out in rats, as we  
222 have more commonly used these for transplant hosts in order to take advantage of a wide range of  
223 behavioural tests optimised in rat models of PD and HD, and as we have regularly achieved decent  
224 survival of large within and between species transplants under appropriate immunosuppression.  
225 However, using mice as long term transplant hosts would allow the use of a wide range of available  
226 transgenic models that are not currently available in rats. In addition to models of  
227 neurodegeneration, a number of mouse models exist with modified immune systems, which would  
228 provide tools to investigate the mechanisms underlying neonatal desensitisation to human  
229 xenografts.

230 To date we have carried out a number of experiments to investigate the possibility of successful  
231 neonatal desensitisation of mouse hosts with little success, which have been reported in more detail  
232 elsewhere [18]. Initial experiments were carried out using the standard neonatal desensitisation  
233 protocol optimised previously for rat hosts [49] in CD-1 mice. However, in addition to poor survival  
234 of hPF(ctx) in the desensitised mouse hosts, there was also poor survival in immunosuppressed  
235 (daily CsA treated) mice [18] suggesting that there is a general problem of neural graft survival in the  
236 mouse. Again the use of outbred mice is of additional concern here, with a likely increase in the  
237 variability of transplant survival. Attempts to improve graft survival were made through  
238 modifications to the transplant protocol. These included transplanting an increased number of  
239 donor cells ( $5 \times 10^5$  vs  $3 \times 10^5$ ), and transplantation at different time-points following a quinolinic acid  
240 lesion, or into the intact striatum, in order to avoid the delivery of cells into a compromised  
241 environment. No improvement in survival was seen in any of these conditions. Varying the number  
242 of cells injected neonatally to improve neonatal desensitisation also proved unsuccessful [18]. The  
243 fact that survival in desensitised mice hosts was comparable to untreated hosts (~15% for both),

244 suggests that desensitisation was not successful in mice. However, as only 50% of grafts in hosts  
245 receiving a conventional immunosuppressant (CsA) survived it is not possible to conclusively  
246 determine whether or not desensitisation or other factors are responsible for the poor survival [18].

247 These findings are in line with research from other groups, which has also shown poor translation of  
248 neonatal desensitisation to mice using various host strains and donor cell types [37, 48]. Mattis et al  
249 performed a systematic investigation of neonatal desensitisation in three different strains of mice  
250 (both inbred and outbred) using three human stem cell types [37]. Both transgenic HD and wild type  
251 (WT) mice received i.p. injections on P2-3 of hPF-derived neural progenitor cells or ESC-derived NPCs  
252 and subsequently received bilateral striatal transplants of the same cell type at 5-10 weeks of age.  
253 Rejection of both cell types was seen at 6 weeks post-transplantation with dense infiltration of  
254 activated microglia and a lack of donor cells at the transplant site [37]. Treatment with CsA in  
255 desensitised and non-desensitised hosts was found to improve survival, although a reduction in the  
256 size of the transplants and the presence of microglial inflammation was still observed. Similar results  
257 were obtained using an inbred mouse strain (C57/BL6) with a neonatal injection of hESC-derived  
258 NPC at P5 followed by a transplant of the same cells at 2 months. No grafts were found to survive in  
259 untreated or desensitised hosts at 2 or 6 weeks post-transplantation [37].

260 Along with their experiments on desensitisation in rats, Janowski et al also tested whether mouse  
261 hosts (BALB/c) could be successfully desensitised to luciferase expressing human glial-restricted  
262 precursor (hGRP) cells derived from foetal brain [48]. The use of this line allowed detection of  
263 injected cells for both desensitisation and transplantation with *in vivo* bioluminescence imaging  
264 (BLI). The authors found a rapid reduction on BLI signal within a few days following desensitisation  
265 (<5 days) suggestive of elimination of peripherally injected cells. Following neural transplantation a  
266 reduction in BLI signal was again observed and was undetectable after 2 weeks in adult desensitised  
267 and naïve BALB/c mouse hosts. Transplants of hGRP cells delivered to adult *rag2*<sup>-/-</sup> hosts (*n* = 5),  
268 were found to survive well up to 3 weeks post-transplantation, however longer time-points are

269 necessary to determine whether these cells would survive long term. Dense infiltration of CD45+  
270 immune cells was found in both desensitised and naïve hosts following sacrifice at 2 weeks post  
271 transplantation suggesting the loss of transplanted cells was due to rejection through the host  
272 immune system [48].

273 The rapid signal loss observed following neonatal i.p. injections in this study is interesting [48].  
274 Although we do not know the mechanisms by which desensitisation of rats is produced, we may  
275 assume that the presence of donor cells for presentation to the immature neonatal immune system  
276 during development is necessary, and that this may not be possible if cells are rapidly rejected  
277 following neonatal injection. Support for this notion comes from Mattis et al's experiments; in which  
278 neonatal transplants of luciferase expressing human iPSC-derived neural precursor cells (iPSC-NPCs)  
279 to the neonatal mouse brain declined from approximately 9 days post transplantation with no cells  
280 remaining by 28 days [37]. These results were subsequently confirmed with histological analysis. The  
281 survival of the same cells was confirmed *in vitro* for several weeks, showing these cells to be healthy,  
282 and survival was also confirmed in neonatal immunodeficient (NOD SCID) mice. Additionally,  
283 transplants of hFNPs were also rejected in the neonatal mouse striatum, with increased  
284 immunoreactivity to the microglial marker Iba1 [37]. These findings differ to neonatal rat neural  
285 transplants of human cells, which have been consistently shown to survive long term [24, 25, 31, 36].  
286 The findings of these two studies therefore suggest that, in contrast to rat hosts, xenogeneic donor  
287 cells are rapidly rejected in both the CNS and the periphery of neonatal mice. This may indicate that  
288 the immune system develops earlier in the postnatal period than in rats and is more developed by  
289 birth, or that it is simply more readily equipped for the rejection of human donor cells.

---

**290 Xenograft rejection; a difference between rat and mouse hosts.**

291 Numerous studies have investigated the rat host immune response to neural xenografts of mouse  
292 [50], porcine [51, 52] and human tissue. Some studies have been carried out to characterise the  
293 rejection of porcine tissue transplants in mice [17, 53, 54], however less work has been conducted  
294 specifically investigating the mouse host response to transplanted human cells. Thus, much neural  
295 transplant work has assumed a similarity between mice and rats as transplant hosts. In comparing  
296 the rat and mouse host immune response to transplants of porcine cells, Larsson et al found a much  
297 faster, more severe response from the mouse hosts [17]. We have recently compared the survival of  
298 human donor cells (hCTX) transplanted unilaterally into the striatum of QA lesioned rat (SD) and  
299 mouse (CD-1) hosts receiving no immunosuppression or daily CsA (Robertson, VH; unpublished data).  
300 At 10 days post-transplantation all grafts remained present in both CsA treated and untreated rat  
301 hosts. However rejection in mouse hosts was much more rapid, with only 50% of transplants  
302 remaining in both the untreated hosts and those receiving daily injections of CsA after 10 days.  
303 Findings discussed here regarding human to mouse xenografts are in line with this, showing a rapid  
304 clearance of human donor cells both in the periphery and the CNS [18, 37, 48]. The use of neonatal  
305 hosts does not avoid this, with cells injected intraperitoneally being cleared by around 5 days [48],  
306 and striatal transplants reducing in size from 9 days showing complete rejection by 21-28 days. In all  
307 cases dense infiltration of activated microglia is observed [18, 37]. It has been reported that higher  
308 doses of CsA may be required to successfully promote survival of xenografts in mouse hosts,  
309 although care is needed regarding the side effects [17]. Indeed, in all mouse experiments we have  
310 conducted, a dose of 10mg/kg daily via i.p. injection has been administered as we have found this to  
311 be successful in rat hosts [18]. Interestingly, Mattis et al reported survival of xenografts in CsA  
312 treated mouse hosts, albeit with some reduction in graft size up to 6 weeks post-transplantation.  
313 They administered 15mg/kg i.p daily, thus this may explain differences observed in survival between  
314 these studies [37]. Ultimately until issues with inconsistent xenograft survival in mouse hosts and the  
315 mechanisms behind successful neonatal desensitisation can be resolved it cannot be determined

316 whether the neonatal desensitisation protocol can be modified for successful application in mouse  
317 hosts.

318

### 319 **Conclusions and future perspective**

320 To date, neonatal desensitisation has been reliably demonstrated to promote survival of a range of  
321 human cell types in various rat models whilst avoiding the limitations associated with other  
322 immunosuppressant methods. It allows xenograft survival to a comparable extent to conventional  
323 immunosuppressant drugs, but for substantially longer [15, 45-47]. Desensitisation of rat hosts will  
324 therefore permit long term studies of graft maturation and functional outcomes that have not  
325 previously been possible. The method has not been successfully translated into mouse hosts, and  
326 the investigation of desensitisation in the mouse has revealed a number of fundamental differences  
327 between mice and rats in terms of the immune response to neural xenografts in both neonates and  
328 adults [18, 37, 48]. It will be important to unravel the mechanism underlying neonatal  
329 desensitisation, both to understand whether it can be modified for use in the mouse and to facilitate  
330 optimisation of the method in rats.

331

### 332 **Executive summary**

#### 333 **Why is long term assessment of human neural grafts in rodent hosts needed?**

- 334
- Current immunosuppression methods are not adequate for full assessment of graft  
335 maturation and the measurement of functional improvements following transplantation.

#### 336 **Neonatal desensitisation as a method for assessing xenografts in the CNS**

- 337
- Neonatal desensitisation takes advantage of the immaturity of the immune system of
- 338 neonatal rats, promoting the long term survival of subsequent human xenografts in adult rat
- 339 hosts.

340 **Neonatal desensitisation in the rat host**

- Effective desensitisation of rat hosts has been achieved in a range of models for as long as
- 342 40 weeks after transplantation.
- Poor xenograft survival in desensitised rat hosts may be related to, therefore adequate
- 343 survival in immunosuppressed hosts must be demonstrated.
- 344

345 **Neonatal desensitisation of mouse hosts**

- Successful desensitisation of mouse hosts has not yet been demonstrated, and further study
- 346 is needed to resolve the variability in xenograft survival in mouse hosts.
- 347

348

Cells used for neonatal desensitisation	Cells used for subsequent adult graft	Time post-transplant (weeks)	Number of grafts surviving (%)
mPF(WGE)	mPF(WGE)	10	11/15 (73%)
mFNP	mFNP	10	11/13 (85%)
hPF(ctx)	hPF(ctx)	40	48/55 (87%)
hPF(WGE)	hPF(WGE)	25	10/15 (66%)
hFNP	hFNP	12	10/13 (77%)
hES-N	hES-N	12	9/12 (75%)
hFNP	hPF(ctx)	12	11/13 (85%)
hPF(ctx)	hFNP	12	11/14 (79%)
hLiver	hPF(ctx)	12	8/13 (62%)
hPF(ctx)	hPFWGE	10	19/23 (83%)
None	hPF(ctx)	12	0/9 (0%)
None	hPF(WGE)	2	0/7 (0%)
None, but given CsA	hPF(WGE)	12	7/9 (77%)
None, but given CsA	hFNP	12	6/8 (75%)

---

349 **Table 1** Summary of data in Kelly *et al.* (2009) [14] to show survival of human xenografts in adulthood where the neonate has been injected with cells from  
350 a similar (concordant) or different (discordant) origin. Transplant hosts in all experimental groups were SD rats. Graft survival is comparable to that seen in  
351 CsA-treated hosts and no graft survival is seen in host receiving no immune-modulatory treatment. *h=human, m=mouse, PF(ctx) = primary foetal cortex,*  
352 *PF(WGE) = whole ganglionic eminence, FNP = foetal neural precursors derived from foetal cortical tissue, hES-N = human ESChLiver = human liver, CsA =*  
353 *Cyclosporine A*

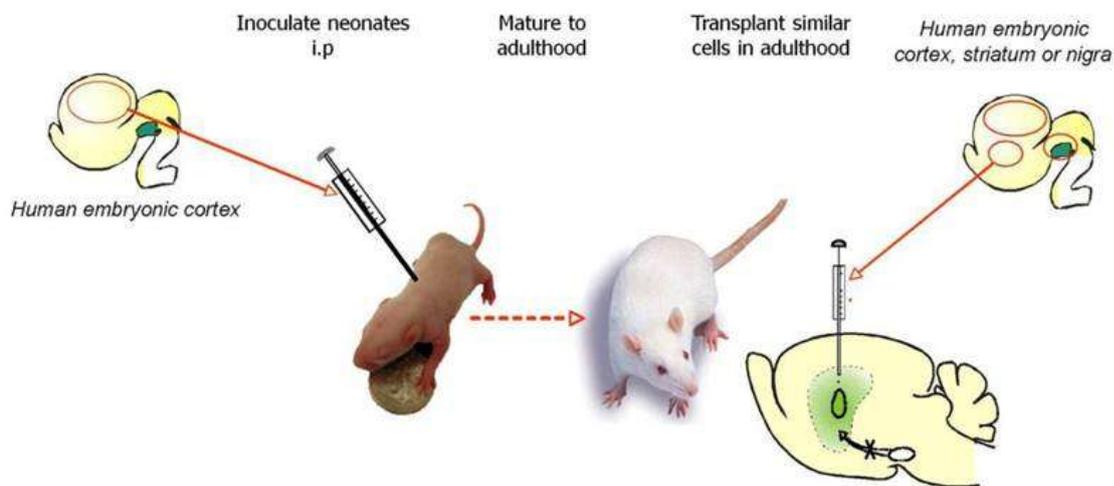
354

Strain	Cells used for desensitisation	Sample used for desensitisation	Lesion	Tissue Transplanted	Sample used for adult graft	Surviving (%)	Time post TX
SD	hPF(ctx)	A	QA	hPF(ctx)	C	3/7 (42.86%)	6 weeks
SD	hPF(ctx)	A	QA	hPF(ctx)	C	1/3 (33.33%)	9 weeks
SD	hPF(ctx)	A	QA	hPF(ctx)	D	4/4 (100.00%)	9 weeks
SD	CsA	-	QA	hPF(ctx)	C	2/2 (100.00%)	6 weeks
LH	hPF(ctx)	A	QA	hPF(ctx)	C	1/3 (33.33%)	6 weeks
LH	hPF(ctx)	A	QA	hPF(ctx)	D	3/3 (100.00%)	9 weeks
LH	CsA	-	QA	hPF(ctx)	C	3/4 (75.00%)	6 weeks
LH	CsA	-	QA	hPF(ctx)	D	3/3 (100.00%)	9 weeks
SD	hPF(ctx)	B	QA	hPF(ctx)	E	3/8 (37.50%)	6 weeks
SD	CsA	-	QA	hPF(ctx)	E	2/2 (100.00%)	6 weeks

355 **Table 2** Previously unpublished data using neonatal desensitisation of rat hosts to promote survival  
 356 of hPF(ctx) transplants. All hosts were desensitised with  $1 \times 10^5$  cells injected i.p. and subsequently  
 357 transplanted unilaterally with  $5 \times 10^5$  cells. *SD* = Sprague Dawley; *LH* = Lister Hooded; hPF(ctx) =  
 358 human primary foetal cortical cells; hVM = human primary foetal ventral mesencephalon; QA =  
 359 Quinolinic acid lesion; 6-OHDA = 6-hydroxydopamine lesion; TX = Transplant

360

361



362

363 **Figure 1** The neonatal desensitisation protocol. hPF(ctx) was used to inoculate early neonatal rat  
 364 pups by i.p. injection. The animals were allowed to survive to maturity and then received a neural  
 365 transplant of similar human PF(ctx) cells from a separate fetal sample. From Kelly *et al* (2009).

366

367

368

369

370

371 **References**

- 372 1. Brundin P, Nilsson OG, Strecker RE, Lindvall O, Astedt B, Bjorklund A: Behavioural effects of  
373 human fetal dopamine neurons grafted in a rat model of Parkinson's disease. *Exp Brain Res*  
374 65(1), 235-240 (1986).
- 375  
376 2. Dunnett SB, Nathwani F, Björklund A: *Chapter 16 The integration and function of striatal*  
377 *grafts*. In: *Progress in Brain Research*, S.B. Dunnett AB (Ed.^(Eds). Elsevier, 345-380 (2000).
- 378  
379 3. Nakao N, Itakura T: Fetal tissue transplants in animal models of Huntington's disease: the  
380 effects on damaged neuronal circuitry and behavioral deficits. *Progress in Neurobiology*  
381 61(3), 313-338 (2000).
- 382  
383 4. Rosser AE, Bachoud-Lévi A-C: Clinical trials of neural transplantation in Huntington's disease.  
384 *Progress in brain research* 200, 345-371 (2012).
- 385 **\* Reviews outcomes of clinical trials for transplantation in Huntington's Disease**
- 386  
387 5. Evans JR, Mason SL, Barker RA: Current status of clinical trials of neural transplantation in  
388 Parkinson's disease. *Progress in brain research* 200, 169-198 (2012).
- 389 **\* Reviews outcomes of clinical trials for transplantation in Parkinson's Disease**
- 390  
391 6. Rosser AE, Dunnett SB: *Neural transplantation in Huntington's Disease*. In: *Cellular*  
392 *transplantation: From laboratory to clinic*, Transplantation DEBT-C (Ed.^(Eds). Academic  
393 Press, Burlington 417-437 (2007).
- 394  
395 7. Barker RA, Mason SL, Harrower TP *et al.*: The long-term safety and efficacy of bilateral  
396 transplantation of human fetal striatal tissue in patients with mild to moderate Huntington's  
397 disease. *Journal of Neurology, Neurosurgery & Psychiatry*, (2013).
- 398  
399 8. Hurelbrink CB, Armstrong RJ, Barker RA, Dunnett SB, Rosser AE: Hibernated human fetal  
400 striatal tissue: successful transplantation in a rat model of Huntington's disease. *Cell*  
401 *Transplantation* 9(6), 743-749 (2000).
- 402  
403 9. Björklund A, Lindvall O: Cell replacement therapies for central nervous system disorders.  
404 *Nature neuroscience* 3, 537-544 (2000).
- 405  
406 10. Kelly CM, Precious SV, Torres EM *et al.*: Medical Terminations of Pregnancy: A Viable Source  
407 of Tissue for Cell Replacement Therapy for Neurodegenerative Disorders. *Cell*  
408 *Transplantation* 20(4), 503-513 (2011).
- 409  
410 11. Kim SU, De Vellis J: Stem cell-based cell therapy in neurological diseases: a review. *Journal of*  
411 *neuroscience research* 87, 2183-2200 (2009).

- 412  
413 12. Precious SV, Rosser AE: Producing striatal phenotypes for transplantation in Huntington's  
414 disease. *Experimental Biology and Medicine* 237(4), 343-351 (2012).
- 415  
416 13. Galea I, Bechmann I, Perry VH: What is immune privilege (not)? *Trends in immunology* 28(1),  
417 12-18 (2007).
- 418  
419 14. Barker RA, Ratcliffe E, Mclaughlin M, Richards A, Dunnett SB: A role for complement in the  
420 rejection of porcine ventral mesencephalic xenografts in a rat model of Parkinson's disease.  
421 *Journal of Neuroscience* 20, 3415 (2000).
- 422  
423 15. Kelly CM, Precious SV, Scherf C *et al.*: Neonatal desensitization allows long-term survival of  
424 neural xenotransplants without immunosuppression. *Nature Methods* 6, 271-273 (2009).
- 425 **\*\* First publication reporting successful neonatal desensitisation of rat hosts**
- 426  
427 16. Jablonska A, Janowski M, Lukomska B: Different methods of immunosuppression do not  
428 prolong the survival of human cord blood-derived neural stem cells transplanted into focal  
429 brain-injured immunocompetent rats. *Acta Neurobiol Exp (Wars)* 73(1), 88-101 (2013).
- 430  
431 17. Larsson LC, Frielingsdorf H, Mirza B *et al.*: Porcine neural xenografts in rats and mice: donor  
432 tissue development and characteristics of rejection. *Experimental neurology* 172, 100-114  
433 (2001).
- 434  
435 18. Robertson VH, Evans AE, Harrison DJ *et al.*: Is the adult mouse striatum a hostile host for  
436 neural transplant survival? *Neuroreport* 24(18), 1010-1015 (2013).
- 437 **\* Human to mouse striatal transplants and neonatal desensitisation**
- 438  
439 19. Al Nimer F, Wennersten AA, Holmin S, Meijer X, Wahlberg L, Mathiesen T: MHC expression  
440 after human neural stem cell transplantation to brain contused rats. *NeuroReport* 15, 1871-  
441 1875 (2004).
- 442  
443 20. Bertani T, Perico N, Abbate M, Battaglia C, Remuzzi G: Renal injury induced by long-term  
444 administration of cyclosporin A to rats. *The American journal of pathology* 127, 569-579  
445 (1987).
- 446  
447 21. Grasbon-Frodl E, Nakao N, Lindvall O, Brundin P: Phenotypic development of the human  
448 embryonic striatal primordium: a study of cultured and grafted neurons from the lateral and  
449 medial ganglionic eminences. *Neuroscience* 73(1), 171-183 (1996).
- 450  
451 22. Grasbon-Frodl EM, Nakao N, Lindvall O, Brundin P: Developmental Features of Human  
452 Striatal Tissue Transplanted in a Rat Model of Huntington's Disease. *Neurobiology of disease*  
453 3, 299-311 (1997).

- 454  
455 23. Pearl JI, Lee AS, Leveson-Gower DB *et al.*: Short-term immunosuppression promotes  
456 engraftment of embryonic and induced pluripotent stem cells. *Cell stem cell* 8, 309-317  
457 (2011).
- 458  
459 24. Hurelbrink CB, Armstrong RJ, Dunnett SB, Rosser AE, Barker RA: Neural cells from primary  
460 human striatal xenografts migrate extensively in the adult rat CNS. *The European journal of*  
461 *neuroscience* 15(7), 1255-1266 (2002).
- 462  
463 25. Hurelbrink CB, Barker RA: Migration of cells from primary transplants of allo- and  
464 xenografted foetal striatal tissue in the adult rat brain. *The European journal of neuroscience*  
465 21, 1503-1510 (2005).
- 466  
467 26. Nasonkin I, Mahairaki V, Xu L *et al.*: Long-term, stable differentiation of human embryonic  
468 stem cell-derived neural precursors grafted into the adult mammalian neostriatum. *Stem*  
469 *Cells* 27(10), 2414-2426 (2009).
- 470  
471 27. Aubry L, Bugi A, Lefort N, Rousseau F, Peschanski M, Perrier AL: Striatal progenitors derived  
472 from human ES cells mature into DARPP32 neurons in vitro and in quinolinic acid-lesioned  
473 rats. *Proceedings of the National Academy of Sciences* 105(43), 16707-16712 (2008).
- 474  
475 28. Mombaerts P, Iacomini J, Johnson RS, Herrup K, Tonegawa S, Papaioannou VE: RAG-1-  
476 deficient mice have no mature B and T lymphocytes. *Cell* 68(5), 869-877 (1992).
- 477  
478 29. Shinkai Y, Rathbun G, Lam K-P *et al.*: RAG-2-deficient mice lack mature lymphocytes owing to  
479 inability to initiate V(D)J rearrangement. *Cell* 68(5), 855-867 (1992).
- 480  
481 30. Kingsley CI, Nadig SN, Wood KJ: Transplantation tolerance: lessons from experimental  
482 rodent models. *Transplant international : official journal of the European Society for Organ*  
483 *Transplantation* 20, 828-841 (2007).
- 484  
485 31. Lund RD, Rao K, Hankin MH, Kunz HW, Gill TJ: Transplantation of retina and visual cortex to  
486 rat brains of different ages. Maturation, connection patterns, and immunological  
487 consequences. *Annals of the New York Academy of Sciences* 495, 227-241 (1987).
- 488  
489 32. Englund U, Fricker-Gates RA, Lundberg C, Björklund A, Wictorin K: Transplantation of Human  
490 Neural Progenitor Cells into the Neonatal Rat Brain: Extensive Migration and Differentiation  
491 with Long-Distance Axonal Projections. *Experimental Neurology* 173(1), 1-21 (2002).
- 492  
493 33. Pollack IF, Lund RD: The blood-brain barrier protects foreign antigens in the brain from  
494 immune attack. *Experimental Neurology* 108(2), 114-121 (1990).
- 495

- 496 34. Kallur T, Darsalia V, Lindvall O, Kokaia Z: Human fetal cortical and striatal neural stem cells  
497 generate region-specific neurons in vitro and differentiate extensively to neurons after  
498 intra-striatal transplantation in neonatal rats. *Journal of Neuroscience Research* 84(8), 1630-  
499 1644 (2006).
- 500
- 501 35. Kallur T, Farr TD, Bohm-Sturm P, Kokaia Z, Hoehn M: Spatio-temporal dynamics,  
502 differentiation and viability of human neural stem cells after implantation into neonatal rat  
503 brain. *The European journal of neuroscience* 34(3), 382-393 (2011).
- 504
- 505 36. Zietlow R, Precious SV, Kelly CM, Dunnett SB, Rosser AE: Long-term expansion of human  
506 foetal neural progenitors leads to reduced graft viability in the neonatal rat brain.  
507 *Experimental Neurology* 235(2), 563-573 (2012).
- 508
- 509 37. Mattis VB, Wakeman DR, Tom C *et al.*: Neonatal immune-tolerance in mice does not prevent  
510 xenograft rejection. *Experimental Neurology* 254, 90-98 (2014).
- 511 **\*\* Systematic investigation of neonatal desensitisation in various mouse strains and human to**  
512 **neonatal mouse neural transplants**
- 513
- 514 38. Billingham RE, Brent L: Acquired Tolerance of Foreign Cells in Newborn Animals. *Proceedings*  
515 *of the Royal Society of London. Series B - Biological Sciences* 146(922), 78-90 (1956).
- 516
- 517 39. Billingham RE, Brent L, Medawar PB: Actively acquired tolerance of foreign cells. *Nature*  
518 172(4379), 603-606 (1953).
- 519 **\* Neonatal transplant tolerance**
- 520
- 521 40. Adkins B, Jones M, Bu Y, Levy RB: Neonatal tolerance revisited again: specific CTL priming in  
522 mouse neonates exposed to small numbers of semi- or fully allogeneic spleen cells.  
523 *European journal of immunology* 34(7), 1901-1909 (2004).
- 524
- 525 41. Ridge J, Fuchs E, Matzinger P: Neonatal tolerance revisited: turning on newborn T cells with  
526 dendritic cells. *Science* 271, 1723-1726 (1996).
- 527
- 528 42. West LJ, Morris PJ, Wood K: Fetal liver haematopoietic cells and tolerance to organ  
529 allografts. *The Lancet* 343(8890), 148-149 (1994).
- 530
- 531 43. Modigliani Y, Burlen-Defranoux O, Bandeira A, Coutinho A: Neonatal Tolerance to  
532 Alloantigens is Induced by Enriched Antigen-Presenting Cells. *Scandinavian journal of*  
533 *immunology* 46(2), 117-121 (1997).
- 534
- 535 44. Bandeira A, Coutinho A, Carnaud C, Jacquemart F, Forni L: Transplantation tolerance  
536 correlates with high levels of T- and B-lymphocyte activity. *Proceedings of the National*  
537 *Academy of Sciences* 86(1), 272-276 (1989).

- 538  
539 45. Zhang S, Jiang YZ, Zhang W *et al.*: Neonatal desensitization supports long-term survival and  
540 functional integration of human embryonic stem cell-derived mesenchymal stem cells in rat  
541 joint cartilage without immunosuppression. *Stem Cells Dev* 22(1), 90-101 (2013).
- 542  
543 46. Singhal S, Bhatia B, Jayaram H *et al.*: Human Müller Glia with Stem Cell Characteristics  
544 Differentiate into Retinal Ganglion Cell (RGC) Precursors In Vitro and Partially Restore RGC  
545 Function In Vivo Following Transplantation. *Stem Cells Translational Medicine* 1(3), 188-199  
546 (2012).
- 547  
548 47. Jayaram H, Jones MF, Eastlake K *et al.*: Transplantation of Photoreceptors Derived From  
549 Human Müller Glia Restore Rod Function in the P23H Rat. *Stem Cells Translational Medicine*  
550 3(3), 323-333 (2014).
- 551  
552 48. Janowski M, Jablonska A, Kozłowska H *et al.*: Neonatal desensitization does not universally  
553 prevent xenograft rejection. *Nat Meth* 9(9), 856-858 (2012).
- 554  
555 49. Kelly CM: Desensitisation of neonatal rat pups for xenotransplantation with human tissues.  
556 (2009).
- 557  
558 50. Finsen BR, Sørensen T, Castellano B, Pedersen EB, Zimmer J: Leukocyte infiltration and glial  
559 reactions in xenografts of mouse brain tissue undergoing rejection in the adult rat brain. A  
560 light and electron microscopical immunocytochemical study. *Journal of neuroimmunology*  
561 32, 159-183 (1991).
- 562  
563 51. Armstrong RJE, Harrower TP, Hurelbrink CB *et al.*: Porcine neural xenografts in the  
564 immunocompetent rat: immune response following grafting of expanded neural precursor  
565 cells. *Neuroscience* 106(1), 201-216 (2001).
- 566  
567 52. Larsson LC, Czech KA, Brundin P, Widner H: Intrastratial ventral mesencephalic xenografts of  
568 porcine tissue in rats: immune responses and functional effects. *Cell Transplant* 9(2), 261-  
569 272 (2000).
- 570  
571 53. Larsson LC, Anderson P, Widner H, Korsgren O: Enhanced Survival of Porcine Neural  
572 Xenografts in Mice Lacking CD1d1, But No Effect of NK1.1 Depletion. *Cell Transplantation*  
573 10(3), 295-304 (2001).
- 574  
575 54. Larsson LC, Corbascio M, Widner H, Pearson TC, Larsen CP, Ekberg H: Simultaneous  
576 inhibition of B7 and LFA-1 signaling prevents rejection of discordant neural xenografts in  
577 mice lacking CD40L. *Xenotransplantation* 9(1), 68-76 (2002).

578

579