Eight weeks of self-resisted neck strength training improves neck strength in age-grade rugby union players: a pilot randomised controlled trial.

Abstract

Background: Greater neck strength is associated with fewer head and neck injuries. Neck strengthening programmes are commonly burdensome, requiring specialist equipment or significant time commitment, which are barriers to implementation.

Hypothesis: Completing a neck strengthening programme will increase isometric neck strength in age-group rugby players.

Study Design: A pilot randomised controlled exercise intervention study.

Level of evidence: 2*

Methods: Twenty-eight male under-18 regional age-group players were randomised (intervention n=15 / control n=13). An 8-week exercise programme was supervised during pre-season at the regional training centre. Control players continued their 'normal practice' which did not include neck-specific strengthening exercises. The 3-times weekly trainer-led intervention programme involved a series of 15-second self-resisted contractions, where players pushed maximally against their own head, in forwards, backwards, left and right directions.

Outcome measure: Peak isometric neck-strength (force N) into neck flexion, extension, and left and right side-flexion was measured using a handheld dynamometer.

Results: Post intervention between-group mean differences (MD) in isometric neck-strength change were adjusted for baseline strength and favoured the intervention for total neck-strength (*ES* = 1.2, MD±95%CI = 155.9N±101.9, *P*=.004) and for neck-strength into extension (*ES*=1.0, MD±95%CI = 59.9N ± 45.4N, *P*=.012) left side-flexion (*ES*=0.7, MD±95%CI = 27.5N±26.9, *P*=.045) and right side-flexion (*ES*=1.3, MD±95%CI = 50.5N±34.4N, *P*=.006).

Conclusion: This resource-efficient neck strengthening programme has few barriers to implementation and provides a clear benefit in U18 players' neck strength. While the present

study focussed on adolescent rugby players, the programme may be appropriate across all sports where head and neck injury are of concern and resources are limited.

Clinical Relevance: Greater neck strength is associated with fewer head and neck injuries including concussion. Performing this neck exercise programme independently, or as part of a whole-body programme like ACTIVATE, could contribute to lower sports related head and neck injuries.

Key words: Concussion, neck strength, rugby football, injury-prevention.

Introduction

Concussion is the most common rugby match-play injury in Men's professional[9], community[1,28], university[30] and youth[19] levels of the game. Concussion is also the most common rugby match-play injury in Women's Premiership 15[31] and collegiate[26] levels of the game. The consequences of concussion have been shown to occur over varying time frames, such as associated increases in subsequent injury risk[8,23] and documented links with decrements in later-life cognitive function[20]. Despite the uncertainty surrounding the long-term effects of concussion in former players, reducing the incidence of concussion across rugby is recognised as a priority[27].

Youth rugby (U18) players have significantly lower neck strength compared to adult rugby players[16,10] which may predispose these players to injury if this discrepancy is not addressed, particularly when transitioning to the adult game. Greater neck strength has been associated with decreased acceleration of the head during rugby contact events[11] and increasing neck strength is speculated as a potential means to help reduce incidence of concussion[6]. In professional[24], adult[1] and youth[19] players, lower head & neck injury incidence has been attributed to implementation of neck strength resistance exercises, although players' neck strength wasn't always measured in these studies. Maximal loading improved neck strength in professional players[15] and in recreationally active college students neck resistance-exercises resulted in neurological adaptation, specifically reduced cross-sectional muscle recruitment for submaximal contractions and increased cross-sectional muscle contribution for maximal contractions[7]. These studies demonstrate that neck muscle function can be altered with targeted resistance training, but the time and equipment demands are barriers to their implementation[25]. As the self-resisted neck exercises of the Activate programme[29] require minimal time and no specialist equipment to complete, barriers to exercise implementation are few, in this context, whether the self-resisted neck exercises can improve neck strength warrants further investigation.

The aim of this research was to investigate the effect of self-resisted neck exercises on neck muscle strength in U18 male regional age-group rugby union players. If neck muscle strength increases post intervention, implementing the resistance programme may benefit sporting populations where higher neck muscle strength is desirable.

Method

Study design and participants

This pilot parallel group randomised controlled trial was designed in accordance with the CONSORT framework[33] and was conducted between mid-July 2019 and end-September 2019. A convenience sampling method was used as one of the study team (LJWH) was the strength and conditioning coach for the U18 regional age group, who delivered the programme. The players were informed of the risks involved in the research. Written informed consent (players) and assent (parent/legal guardian) was provided prior to participation. Data collection and intervention implementation were conducted at a regional training centre in Wales. Ethical approval was granted from the institution's ethics board (ref: PGT-1315).

Sample size

Using published data[15] sample size calculation indicated a minimum sample of 20 players (intervention = 10, control = 10) would be necessary to identify a 15% change in neck strength. All players (n=34, mean ± SD; age = 16.9 ± 0.6 years, height = 180 ± 8 cm, mass = 87.8 ± 14.0 kg) were contacted for recruitment as a sample of thirty-four players would allow for a 30% drop-out rate, while maintaining sufficient power.

Eligibility

Players were male members of the U18 regional age-group and had to be fit to participate in all training and matches; be free from upper limb, head & neck injury at enrolment and throughout the trial period; must not have completed targeted neck-strengthening exercises within the previous 6-months nor undertake targeted neck strengthening exercises during the study period beyond those prescribed within the study; and have no current, nor any history of undiagnosed neck pain.

Randomisation and blinding

Thirty-four players were stratified according to their playing position (forwards/backs) and randomised to either intervention or control group on a 1:1 basis by a member of the research team using a computer-generated list post enrolment (Figure 1). The tester (the team's strength and conditioning coach) was not blinded to group allocation due to also leading the intervention. Control players were not blinded to the intervention groups protocol. Analysis was performed blind by a member of the research group. Six players dropped out of the study (control: injury n=1, other reason n=3; intervention: injury n=1, other reason n=1).

INSERT FIGURE 1 NEAR HERE

Training protocols.

Three times per week, for eight weeks, the team's strength and conditioning coach attended U18 squad training and led the intervention group protocol. An 8-week trial was considered sufficient stimulus for neuromuscular adaptation within the pre-season period and could be completed before any competitive fixtures were scheduled. Training days followed players' normal training patterns (Monday, Wednesday, Friday) and any injuries sustained within training sessions were reported to the team's Physiotherapist. Following the normal team warm-up, intervention players performed one maximal contraction in each direction (into neck flexion, extension, left-side flexion and right-side flexion) by pushing against their own head using their hands (Figure 2). Each contraction lasted 15-seconds and was performed with 30-seconds of rest between frontal and sagittal plane movements reflecting the Activate[29] programme. Neck exercises, intensity and volume were maintained throughout the trail period. The total time taken for all exercises was three minutes. Intervention players then continued their normal rugby training. Control group players maintained their normal training, which did not involve neck specific muscle strengthening exercises (see supplementary material).

INSERT FIGURE 2 NEAR HERE

Familiarisation

Two weeks preceding baseline testing, all players were exposed to the neck testing protocol to reduce likelihood of a learning effect. This involved performing each neck strength testing measure twice per player, limiting performances to 50% perceived effort.

Data Collection

Participants' height (m) (Leicester Height Measure, Seca, UK) and mass (kg) (SC-240 body composition monitor, Tanita, USA) was recorded to help describe the sample population. Neck strength [peak isometric force (N)] was measured using a handheld dynamometer (HHD)(Hoggan Scientific MicroFet 2, Saltlake City, USA) in frontal (right and left neck side-flexion) and sagittal planes (neck flexion, extension) and was re-assessed after 8-weeks of intervention. A register of attendance was taken at each training session while intervention players performed neck strength exercises to enable reporting of compliance during the study.

Neck strength measurement

Testing took place in the gymnasium of the regional training centre. Following a 24-hour rest period, where players were requested not to perform any vigorous activity, neck strength testing took place prior to players' evening training. Before all testing sessions, each player was reminded of the testing procedures and performed a standardised warm-up including range of motion exercises of the cervical spine and shoulder joints.

Participants sat on a 40-cm box in an upright position adjacent to a squat rack (Power Rack, Performance Power Rack, Perform Better Limited, Southam, Warwickshire). A trunk fixation belt (Fixation Belt, Physique Management Company Limited, U.K) was placed around the upper torso of the participant and an upright of the squat rack. The dynamometer was placed in-line with the participant's forehead behind the upright of the squat rack and held in position by the rater. A second fixation belt ('head belt') was placed around the participant's head (level with their eye-brows anteriorly, and occiput posteriorly), the upright of the squat rack and the dynamometer such that when the player contracted their neck muscles, the belt pulled the dynamometer into the upright of the rack. This method was devised to overcome the reliability of measures being affected by tester strength[36]. During neck flexion strength measurement, players sat facing away from the squat rack with their back against the upright of the squat rack. During extension strength measurement players sat facing towards the squat rack. For left and right-side flexion strength measurements, players sat with their right or left shoulder touching the front of the squat rack, respectively (Figure 3).

Following a “ready, steady, start” instruction from the tester, players performed three maximal isometric contractions in each of the four directions; flexion, extension, right and left side flexion each separated by a 1-minute rest period. Ordering of measurements was randomised to reduce risk of systematic bias. Participants were instructed to gradually build up to a maximal contraction within 5-seconds. Players head position was monitored by the rater who encouraged a neutral head position was maintained during testing. All scores were recorded and the highest score was used for analysis.

INSERT FIGURE 3 NEAR HERE

Analysis

Descriptive characteristics and neck strength were reported as mean and standard deviation. Overall compliance was measured as the number of compliant player-sessions/total potential compliant player-sessions. Due to the nature of attendance at regional training, players were assumed to have ‘completed exercises as directed’, thus, if they were in the intervention group and they attended training, then the neck exercises were performed. Differences in neck strength at 8-weeks (into flexion, extension, left side-flexion, right side-flexion, total [the sum of force in all directions]) compared to baseline was calculated for each player and expressed as a percentage relative to the player’s baseline strength. Between-group mean difference (%) in neck strength change and 95% confidence interval were calculated. Between-group in neck strength change (N) was assessed using general linear model (One-Way ANCOVA), where the group (intervention / control) x 'neck strength change' interaction was adjusted for baseline neck strength (covariate). Levene's test was conducted and assumptions were met. Bonferroni post-hoc test was used to explore differences between groups and was reported as adjusted mean difference (MD) and 95% confidence interval (95%CI). Effect size (*ES*) was estimated using Cohen's-*d* and quantified using standard effect size analyses[5] (negligible = < 0.2, small > 0.2 to 0.5, medium/ moderate > 0.5 to 0.8, large > 0.8 to 1.2, and very large > 1.2). A priori $p<0.05$ was accepted for all analysis, and exact *p*-values are stated.

Results

Twenty-eight players completed the study [intervention (n = 15, mean ± SD; height = 179 ± 7 cm; mass = 87.8 ± 14.0 kg; neck circumference = 38.2 ± 2.7 cm): control (n = 13, mean ± SD; height = 181 ± 5 cm; mass = 87.9 ± 14.9 kg; neck circumference = 37.5 ± 2.2 cm)]. Mean compliance across groups was 88% (intervention = 94% (253/270 player-sessions attended), control = 81% (189/234 player-sessions attended)). Baseline and post-trial neck strength is displayed in Table 1.

INSERT TABLE 1 NEAR HERE

One-way ANCOVA identified significant differences in the magnitude of neck strength change between arms for Total neck strength ($F_{25,2} = 8.794$, $P = .001$, figure 4), as well as neck strength into right side-flexion ($F_{25,2} = 9.765$, $P = .001$), left side-flexion ($F_{25,2} = 5.302$, $P = .012$) and extension ($F_{25,2} = 10.547$, $P < .001$). The magnitude of neck strength change into flexion was not significant ($F_{25,2} = 2.328$, $P = .118$) between arms.

INSERT FIGURE 4 NEAR HERE

Post hoc analysis indicated a large effect (*ES* = 1.2, *P* = .004) in favour of the intervention for increase in Total neck strength (MD = 155.9N, 95%CI = 54.0N - 257.8N) compared to control, a very large effect (*ES* = 1.3, *P* = .006) in favour of the intervention for increase in right side-flexion neck strength (MD = 50.4N, 95%CI = 16.0N - 84.7N) compared to control, a moderate effect (*ES* = 0.7, *P* = .045) in favour of the intervention for increase in left side-flexion neck strength (MD = 27.5N, 95%CI = 0.6N – 54.4N) compared to control and a large effect (*ES* = 1.0, *P* = .012) in favour of the intervention for increase in extension neck strength (MD = 59.9N, 95%CI = 14.5N – 105.3N). The effect of the intervention on neck strength into flexion was small (*ES* = 0.3, MD = 8.8N, 95%CI = -20.2N – 37.7N) compared to control.

INSERT FIGURE 5 NEAR HERE

Discussion

This is the first randomised controlled trial to evaluate the efficacy of self-resisted neck strength exercises on isometric neck strength in adolescent male rugby players. At 8-weeks, the intervention group total neck strength demonstrated a significant 24% increase over that of the control group. As lower neck strength has been associated with higher risk of injury[6], this time efficient neck strength programme, which requires no equipment to complete, may provide an important clinical benefit for players.

Previous studies have investigated the effect of different neck strengthening programmes with varying results. Strengthening programmes which involved 50%-70%MVC during exercises for 5 – 6 weeks, resulted in no clinically meaningful changes in total neck strength in male under-19 rugby players[2] or professional rugby players[24]. A 5-week programme involving maximal resistance to an external load applied by a strength and conditioning coach resulted in a clinically significant ~19% increase in total neck strength compared to baseline in professional rugby players[15]. Exercises performed by amateur rugby players at 80 to 100% of maximal effort for 6-weeks, resulted in 12-24% mean increase in neck strength compared to control[18]. The present study prescribed exercises at 100% of 'self-resistance' (the equivalent of 10/10 RPE) and resulted in a 24% increase in total neck strength compared with controls at 8-weeks in adolescent male rugby players. As the weekly exercise prescriptions (2-3 times weekly) and study durations (5-8 weeks) were relatively similar across studies, it appears near maximal to maximal loads may be required to induce meaningful changes in neck strength when considering isometric or isotonic neck exercises.

Post trial, both intervention and control groups demonstrated improvements in neck strength from baseline, despite the control group not performing targeted neck specific strength exercises. In Premier rugby players, a significant ~10% increase was measured for total strength compared to non-contact control players over a 20-week season[32], suggesting neck strength increases with exposure to contact training/match-play. As such, improvement in control group neck strength was anticipated. Across this study's trial period, players likely received sufficient stimulus for strength adaptation from their normal training (a combination of strength and conditioning (3 x 1-hour weekly), and rugby specific activities (3 x 1-hour weekly)). Muscles including the upper trapezius, erector spinae and sternocleidomastoid stabilise the neck during scrummaging[3], and limit shoulder depression and excessive neck movement during the tackle[19]. As such these muscles receive stimulus within 'normal training'. However, the 24% increase in total neck strength of the intervention group above that of the control group demonstrates programme efficacy. This is a very encouraging result and supports implementation of these neck strength exercises within elite age-group training environments.

Intervention group player-level compliance was high (94%), which is reflective of a regional training environment, where players are likely keen to maximise their training exposure. Club level compliance was 100% (3 of 3 sessions per week), though this is due to a researcher being the strength and conditioning coach for the club. For comparison, club and school level mean compliance to Activate was 66% (2 of 3 sessions per week)[1,19]. To be effective as an injury prevention measure in the real-world[12], players must comply with the injury-prevention programme[22]. Two neck strengthening programmes required equipment such as weights machines[2,24] or head harnesses[18,24], required ~8 minutes[15] to 20-minutes[2] per player to perform, and one required trained personnel such as strength and conditioning coaches to apply resistance[15]. Time, personnel, and equipment are common barriers to compliance, particularly within non-professional settings[25]. Our exercise programme, reflecting neck exercises recommended in Activate[1,19,29], was completed by all players simultaneously with no equipment requirement and required just 3-minutes for the whole squad to complete. With limited resources available to adolescent players, we believe this exercise programme has potential to be an effective means for improving neck strength.

Before implementing a training programme, particularly where injury prevention is concerned, the return on investment of implementation should be considered[13]. In cluster RCT settings, Activate resulted in lower injury rates, including concussion, in rugby players[1,19]. A proposed mechanism for the lower concussion rate was increased neck strength following players' exposure to isometric neck strengthening exercises[1,19]. The present study employed neck strength exercises of Activate[1,19,29] and demonstrated significant large increases in total neck strength in the intervention group compared with control. This study offers evidence that one potential mechanism for injury and concussion reduction when using the Activate programme was an increase in neck strength. As whole-body approaches to injury prevention (such as FIFA 11+) have been suggested to provide a positive return on investment for clubs compared to individual exercises (such as the Nordic hamstring exercise)[13], implementation of the full Activate programme in adolescent rugby settings is recommended.

Strengths and limitations

Strengths of the study include the use of a representative sample from regional age-group rugby. Neck-strength research involving U18 players has previously been limited to front-row players only[10,16]. Another strength of this study is the results are valid across elite age-group environments as 'real-world' methodology was employed. For instance, the effects of the intervention occurred despite less than 100% adherence, reflecting that in real life, players miss training and thus do not receive the ideal training load as was intended. Further, no player reported any adverse event associated with the programme to the team's Physiotherapist during the trial.

A major limitation of this study is that the method of measuring neck strength is not well established and has not been published in the peer–reviewed literature. However isometric neck muscle testing is well validated[14,21,4,17,34], and this study's technique overcomes limitations of previous hand-held dynamometer methods relating to tester strength[36], the potential for eccentric strength capture as per a 'break contraction' method[10,15], and maintaining a standardised head position compared to self-testing[34]. Reviewing the force output measured during this study, the players strength was similar to that of under-18 school rugby players (Mean ± 95%CI = 333.4N ± 79.4N) which was a similar population[17]. Another limitation is that the exercises were self-administered by players and the actual load applied by players was not evaluated and could have been inconsistent. Due to the strength and conditioning coach delivering the intervention and performing neck strength testing, they could not be blinded and thus could have influenced players' efforts. Finally, the number of players tested was small and this should be viewed as a pilot study.

There is growing evidence that performing neck strengthening exercises as part of a warm-up[1,19], or within strength and conditioning sessions[24] has been associated with fewer head and neck injuries, including concussion in rugby. Higher neck strength has also been associated with reduced concussion in high school athletes[6]. The present study demonstrates that a 3-minute neck strength programme taken from Activate offers an efficacious means for adolescent rugby players to improve their neck strength. In the absence of evidence suggesting the programme could cause harm, there is compelling evidence that neck strengthening should be included within players' training, ideally three times weekly and, as it has been shown to reduce incidence of injuries in rugby, as part of the Activate programme. The minimal time burden and no need for equipment, means neck strengthening has few barriers to implementation and provides a clear beneficial improvement in players neck strength. While the present study focussed on adolescent rugby players, this approach to neck strengthening may be appropriate across all sports where head and neck injury occur.

Key Points

Findings: Implementing self-resisted neck strength exercises three times per week increased age-group rugby players' neck strength compared to players' normal practice.

Implications: As greater neck strength has been associated with lower risk of head and neck injury including concussion in athletes, this approach to neck strengthening may be appropriate across all sports where head and neck injury area a concern.

Caution: Inferences made regarding associations between higher neck strength and lower concussion risk have not been established in clinical trials and should be interpreted with caution.

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FIGURE LEGENDS

FIGURE 1. Flow chart of participants through the study and timing of maximal voluntary isometric contraction (MVIC) testing blocks.

FIGURE 2. Illustration of hand placements for isometric neck strength training protocol. From the left image, contractions are into flexion, right side flexion, left side flexion and extension.

FIGURE 3. Example of player and equipment positioning during maximal voluntary isometric contraction testing during neck left side-flexion.

FIGURE 4. Total neck force (N) for intervention and control groups at baseline and 8-weeks. Dots represent individual data points. Horizontal bars represent group mean values. Brackets with asterisk indicate significant difference between within-group peak strength change.

FIGURE 5. Mean difference (95%CI) between the intervention and control group at 8-weeks. Vertical dashed line represents no effect compared to the control group.

Tables

TABLE 1. Peak voluntary isometric contraction force outputs for the cervical spine in four contraction directions; flexion, extension, left side-flexion and right side-flexion, for the intervention and control groups at baseline and post-trial. Data are presented as group mean ± standard deviation (SD).

	Control (n = 13)		Intervention (n = 15)	
	Baseline	Post	Baseline	Post
	Mean ± SD (N)	Mean ± SD (N)	Mean ± SD (N)	Mean ± SD (N)
Flexion	190.2 ± 35.0	222.2 ± 49.6	183.4 ± 36.0	225.8 ± 35.2
Extension	271.3 ± 73.2	307.2 ± 57.5	270.8 ± 72.9	376.3 ± 69.0
Left Side-flexion	184.9 ± 41.5	256.7 ± 40.1	192.1 ± 68.1	290.1 ± 60.8
Right Side-Flexion	199.5 ± 60.8	240.5 ± 57.0	185.3 ± 59.0	291.8 ± 53.3
Total	845.9 ± 164.5	1026.5 ± 155.8	831.6 ± 204.5	1184 ± 189.4